

1. DEEPLOK FINANCIAL SERVICES LIMITED

ANNEXURE-I

2. Quarter Ending: 30.09.2017

I. Composition of Board of Directors									
Title (Mr./ Mrs)	Name of the Director	PAN & DIN	Category (Chairperson / Executive/ Non- Executive/ Independent / Nominee)	Date of Appointment in the current term/ cessation	Tenure	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of membership in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 25(1) of Listing Regulations)	No. of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	
Mr.	Surendra Kumar Bachhawat	PAN:AEEP8727P DIN:00129471	Managing Director	30.09.2014	5 years	1	0	0	
Mr.	Mahendra Kumar Bachhawat	PAN:AEKP83106Q DIN: 00129820	Director	09.07.1989	-	1	2	0	
Mr.	Hemraj Kathotia	PAN:AFCPKO998L DIN:02458601	Independent Director	30.03.2015	Independent director for 5 years w.e.f 30.03.2015	1	2	2	
Mr.	Prakash Bachhawat	PAN:KEWPB9763F DIN: 05156658	Director	03.01.2012	-	1	0	0	
Mrs.	Preksha Modi	PAN:B0TPM1194P DIN: 07140944	Independent Director	30.03.2015	Independent director for 5 years w.e.f 30.03.2015	1	2	0	



II. Composition of Committees		Name of Committee Members		Category (Chairperson/ Executive/ Non-Executive/ Independent/ Nominee)
Name of Committee		Name of Committee Members		Category (Chairperson/ Executive/ Non-Executive/ Independent/ Nominee)
1. Audit Committee	Mr. Hemraj Kothotia Mr. Mahendra Kumar Bachhawat Mrs. Preksha Modi	Mr. Hemraj Kothotia Mr. Mahendra Kumar Bachhawat Mrs. Preksha Modi	Chairman, Non-executive, Independent director Non-executive, Independent director	Chairman, Non-executive, Independent director Non-executive, Independent director
2. Stakeholders Relationship Committee	Mr. Hemraj Kothotia Mr. Mahendra Kumar Bachhawat Mrs. Preksha Modi	Mr. Hemraj Kothotia Mr. Mahendra Kumar Bachhawat Mrs. Preksha Modi	Chairman, Non-executive, Independent director Non-executive, Independent director	Chairman, Non-executive, Independent director Non-executive, Independent director
III. Meeting of Board of Directors				
Date(s) of meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Maximum gap between any two consecutive meetings (in number of days)		
30.05.2017	27.07.2017	57days		
IV. Meetings of Committees				
Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive (in number of days)	
Audit Committee- 27.07.2017	Total members =3 Present=3 Quorum met	30.05.2017	57days	
V. Related Party Transactions				
Subject		Compliance Status (Yes/ No/ NA)		
Whether prior approval of audit committee obtained		Yes		
Whether shareholder approval obtained for material RPT		NA		
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee		NA		



VI. Affirmations

- I. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
- II. The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
 - a. Audit Committee
 - b. Nomination & Remuneration Committee
 - c. Stakeholders Relationship Committee
- III. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
- IV. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
- V. The report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/ advice of Board of Directors may be mentioned here: NIL

Surendra Kumar Bachhawat

Managing Director
(DIN:00129471)
Dated: 11.10.2017



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ANNEXURE-III

I Affirmations		Regulation Number	Compliance status(Yes/No/NA)	If status is "No" details of non-compliance
Broad heading				
Copy of the annual report including balance sheet, profit and loss account, directors' report, corporate governance report, business responsibility report displayed on website.	46(2)	Yes	-	
Presence of Chairpersons of Audit Committee at the Annual General Meeting.	18(1)(d)	Yes	-	
Presence of Chairperson of the nomination and remuneration committee at the Annual General Meeting.	19(3)	Yes	-	
Whether "Corporate Governance Report" disclosed in Annual Report.	34(3) read with para C of Schedule V	Yes	-	

Surendra Kumar Bachhawat

Managing Director
(DIN: 00129471)

Dated: 11.10.2017

