

**Format of holding of specified securities**

1. Name of Listed Entity: DEEPLOK FINANCIAL SERVICES LTD (CIN:L17115WB1981PLC033469)
2. Scrip Code - Name of Scrip -, Class of Security Equity
3. Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)
  - a. If under 31 (1)(b) then indicate the report for Quarter ending: 31/03/2017
  - b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:-

| Particulars  | Yes* | No* |
|--|------|-----|
| 1 Whether the Listed Entity has issued any partly paid up shares?                        |      | No  |
| 2 Whether the Listed Entity has issued any Convertible Securities or Warrants?           |      | No  |
| 3 Whether the Listed Entity has any shares against which depository receipts are issued? |      | No  |
| 4 Whether the Listed Entity has any shares in Locked-in?                                 |      | No  |
| 5 Whether any shares held by promoters are pledge or otherwise encumbered?               |      | No  |

\* If the Listed Entity selects the option 'No' for the questions above, the column for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-



| Category (I) | Category of shareholder (II)   | Nos. of shareholders (III) | No. of fully paid up equity shares held (IV) | No. of Partly paid-up equity shares held (V) | No. of shares underlying Depository Receipts (VI) | Total nos. shares held (VII)=(IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) |       |          | No of shares outstanding convertible securities (including Warrants) (X) | Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) |                                 | Number of shares pledged or otherwise encumbered (XIII) |                                 | Number of equity shares held in dematerialized form (XIV) |
|--------------|--------------------------------|----------------------------|--|--|---|--|--|---|-------|----------|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
|              |                                |                            |  |  |   |  |  | Class   | eg. x | Total    |  |   | No. (a)                          | As a % of total shares held (b) | No. (a)   | As a % of total shares held (b) |   |
| (A)          | Promoter & Promoter group      | 14                         | 639299                                       | 0  | 0   | 639299                                     | 67.1113  | 639299  | 0     | 67.1113  | 0  | 0.0000  | 0                                | 0.0000                          | 0   | 0.0000                          | 639299  |
| (B)          | Public                         | 226                        | 313296                                       | 0  | 0   | 313296                                     | 32.8887  | 313296  | 0     | 32.8887  | 0  | 0.0000  | 0                                | 0.0000                          | 0   | 0.0000                          | 262546  |
| (C)          | Non Promoter - Non Public      |                            |  |  |   |  |  |   |       |          |  |   |                                  |                                 |   |                                 |   |
| (C1)         | Shares underlying DRs          |                            |  |  |   |  | NA   |   |       |          |  |   |                                  |                                 |   |                                 |   |
| (C2)         | Shares held by Employee Trusts |                            |  |  |   |  |  |   |       |          |  |   |                                  |                                 |   |                                 |   |
|              | Total                          | 240                        | 952595                                       | 0  | 0   | 952595                                     | 100.0000   | 952595  | 0     | 100.0000 | 0  | 0.0000  | 0                                | 0.0000                          | 0   | 0.0000                          | 901845  |





DEEPIK FINANCIAL SERVICES LTD

Table II - Statement Showing shareholding pattern of the Promoter and Promoter Group as on 31/03/2017

| (1) | (2)   | (3)         | (4)                       | (5)  | (6)                                   | Number of Voting Rights held in each class of securities (IX) |   |   | No of shares outstanding securities (including Warrants) (X) | Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2 | Number of Locked in shares pledged or otherwise encumbered (XII) |         | Number of equity shares held in dematerialized form (XIV) |         |
|-----|---|-------------|---------------------------|--|---------------------------------------|---|---|---|--|---|--|---------|---|---------|
|     |   |             |                           |  |                                       | No of Voting Rights   | Class x                                   | Class y   |  |   | Total  | No. (a) |   | No. (a) |
| (1) | Category & Name of Shareholders (I)                           | PAN (II)    | Nos of shareholders (III) | No. of fully paid up equity shares held (IV) | Partly paid-up equity shares held (V) | Nos. of shares underlying Depository Receipts (VI)            | Total nos shares held (VII)=(IV)+(V)+(VI) | Shareholding % calculated as per SCRR 1957) As a % of (A+B+C2) (VIII) | Total as a % of Total Voting rights (X)                      |   | No. (a)  | No. (a) |   |         |
| (a) | Individuals/Hindu undivided Family                            |             | 10                        | 435949                                       | 0                                     | 0   | 435949                                    | 45.7644   | 45.7644  | 0   | 45.7644  | 0       | 0.0000  | 435949  |
|     | Rajendra Kumar Bachhawat                                      | AEEP8725R   | 1                         | 88000  | 0                                     | 0   | 88000                                     | 9.2379  | 9.2379   | 0   | 9.2379   | 0       | 0.0000  | 88000   |
|     | Surendra Kumar Bachhawat                                      | AEEP8727P   | 1                         | 82699  | 0                                     | 0   | 82699                                     | 8.6814  | 8.6814   | 0   | 8.6814   | 0       | 0.0000  | 82699   |
|     | Mahendra Kumar Bachhawat                                      | AERP3106Q   | 1                         | 68350  | 0                                     | 0   | 68350                                     | 7.1751  | 7.1751   | 0   | 7.1751   | 0       | 0.0000  | 68350   |
|     | Surendra Kumar Bachhawat                                      | AAFR1074Q   | 1                         | 44300  | 0                                     | 0   | 44300                                     | 4.6505  | 4.6505   | 0   | 4.6505   | 0       | 0.0000  | 44300   |
|     | Rajendra Kumar Bachhawat                                      | AAHR7193H   | 1                         | 43600  | 0                                     | 0   | 43600                                     | 4.5770  | 4.5770   | 0   | 4.5770   | 0       | 0.0000  | 43600   |
|     | Deepak Bachhawat  | AGXP86375J  | 1                         | 37500  | 0                                     | 0   | 37500                                     | 3.9366  | 3.9366   | 0   | 3.9366   | 0       | 0.0000  | 37500   |
|     | Prakash Bachhawat   | AKWP8763F   | 1                         | 30750  | 0                                     | 0   | 30750                                     | 3.2280  | 3.2280   | 0   | 3.2280   | 0       | 0.0000  | 30750   |
|     | Alok Bachhawat  | AGGP85662D  | 1                         | 28900  | 0                                     | 0   | 28900                                     | 3.0338  | 3.0338   | 0   | 3.0338   | 0       | 0.0000  | 28900   |
|     | Mishri Devi Bachhawat   | AEEP8726N   | 1                         | 11800  | 0                                     | 0   | 11800                                     | 1.2387  | 1.2387   | 0   | 1.2387   | 0       | 0.0000  | 11800   |
|     | Saraj Bachhawat   | ADJP8079Q   | 1                         | 50   | 0                                     | 0   | 50  | 0.0052  | 0.0052   | 0   | 0.0052   | 0       | 0.0000  | 50      |
| (b) | Central Government/State Government(s)                        |             |                           |  |                                       |   |   |   |  |   |  |         |   |         |
| (c) | Financial Institutions/Banks                                  |             |                           |  |                                       |   |   |   |  |   |  |         |   |         |
| (d) | Any Other (specify)   |             |                           |  |                                       |   |   |   |  |   |  |         |   |         |
|     | Bodies Corporate  |             | 4                         | 203350                                       | 0                                     | 0   | 203350                                    | 21.3470   | 21.3470  | 0   | 21.3470  | 0       | 0.0000  | 203350  |
|     | Daulat Financial Services Private Limited                     | AAACD8739N  | 1                         | 68300  | 0                                     | 0   | 68300                                     | 7.1699  | 7.1699   | 0   | 7.1699   | 0       | 0.0000  | 68300   |
|     | Deeplok Securities Ltd  | AAACD9152H  | 1                         | 56450  | 0                                     | 0   | 56450                                     | 5.9259  | 5.9259   | 0   | 5.9259   | 0       | 0.0000  | 56450   |
|     | Vinayak Dealer Pvt Ltd  | AAACV9573C  | 1                         | 43500  | 0                                     | 0   | 43500                                     | 4.5665  | 4.5665   | 0   | 4.5665   | 0       | 0.0000  | 43500   |
|     | R S M Builders & Securities Pvt Ltd                           | AAABCR3143B | 1                         | 35100  | 0                                     | 0   | 35100                                     | 3.6847  | 3.6847   | 0   | 3.6847   | 0       | 0.0000  | 35100   |
|     | Sub Total   |             | 14                        | 639299                                       | 0                                     | 0   | 639299                                    | 67.1113   | 67.1113  | 0   | 67.1113  | 0       | 0.0000  | 639299  |
| (2) | Foreign   |             |                           |  |                                       |   |   |   |  |   |  |         |   |         |
| (a) | Individuals (Non-Resident Individuals/Foreign Individuals)    |             |                           |  |                                       |   |   |   |  |   |  |         |   |         |
| (b) | Government  |             |                           |  |                                       |   |   |   |  |   |  |         |   |         |
| (c) | Institutions  |             |                           |  |                                       |   |   |   |  |   |  |         |   |         |
| (d) | Foreign Portfolio Investor                                    |             |                           |  |                                       |   |   |   |  |   |  |         |   |         |
| (e) | Any Other (specify)   |             |                           |  |                                       |   |   |   |  |   |  |         |   |         |
|     | Sub Total   |             | 0                         | 0  | 0                                     | 0   | 0   | 0.0000  | 0.0000   | 0   | 0.0000   | 0       | 0.0000  | 0       |
|     | Total Shareholding of Promoter and Promoter Group (A)=(1)+(2) |             | 14                        | 639299                                       | 0                                     | 0   | 639299                                    | 67.1113   | 67.1113  | 0   | 67.1113  | 0       | 0.0000  | 639299  |





| Category & Name of the Shareholders (I)   | Nos. of shareholders (III) | No. of fully paid up equity shares held (IV) | Partly paid-up equity shares held (V) | Nos. of shares underlying Depository Receipts (VI) | Total nos. shares held (VII)=(IV)+(V)+(VI) | Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII) | Number of Voting Rights held in each class of securities (IX) |         |        | Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) |                                 | Number of shares pledged or otherwise encumbered (XIII) | Number of equity shares held in dematerialized form (XIV) |                          |  |
|---|----------------------------|--|---------------------------------------|--|--|---|---|---------|--------|---|----------------------------------|---------------------------------|---|---|--------------------------|--|
|   |                            |  |                                       |  |  |   | Class x   | Class y | Total  |   | No. (a)                          | As a % of total shares held (b) |   |   | No. (Not applicable) (a) | As a % of total shares held in dematerialized form (b) (XIV) |
|   |                            |  |                                       |  |  |   |   |         |        |   |                                  |                                 |   |   |                          |  |
| (1) Institutions  |                            |  |                                       |  |  |   |   |         |        |   |                                  |                                 |   |   |                          |  |
| (a) Mutual Funds / UTI  |                            |  |                                       |  |  |   |   |         |        |   |                                  |                                 |   |   |                          |  |
| (b) Venture Capital Funds   |                            |  |                                       |  |  |   |   |         |        |   |                                  |                                 |   |   |                          |  |
| (c) Alternate Investment Funds  |                            |  |                                       |  |  |   |   |         |        |   |                                  |                                 |   |   |                          |  |
| (d) Foreign Venture Capital Investors   |                            |  |                                       |  |  |   |   |         |        |   |                                  |                                 |   |   |                          |  |
| (e) Foreign Portfolio Investors   |                            |  |                                       |  |  |   |   |         |        |   |                                  |                                 |   |   |                          |  |
| (f) Financial Institutions / Banks  |                            |  |                                       |  |  |   |   |         |        |   |                                  |                                 |   |   |                          |  |
| (g) Insurance Companies   |                            |  |                                       |  |  |   |   |         |        |   |                                  |                                 |   |   |                          |  |
| (h) Provident Funds / Pension Funds   |                            |  |                                       |  |  |   |   |         |        |   |                                  |                                 |   |   |                          |  |
| (i) Any Other (specify)   |                            |  |                                       |  |  |   |   |         |        |   |                                  |                                 |   |   |                          |  |
| Sub Total   | 0                          | 0  | 0                                     | 0  | 0  | 0.0000  | 0   | 0       | 0      | 0.0000  | 0                                | 0.0000                          | NA  | 0   |                          |  |
| (2) Central Government / State Government(s) / President of India                 |                            |  |                                       |  |  |   |   |         |        |   |                                  |                                 |   |   |                          |  |
| (3) Non-Institutions  |                            |  |                                       |  |  |   |   |         |        |   |                                  |                                 |   |   |                          |  |
| (a) Individuals-  |                            |  |                                       |  |  |   |   |         |        |   |                                  |                                 |   |   |                          |  |
| i. Individual shareholders holding nominal share capital upto Rs.2 lakhs          | 210                        | 79755  | 0                                     | 0  | 0  | 8.3724  | 79755   | 0       | 79755  | 8.3724  | 0                                | 0.0000                          | NA  | 29630   |                          |  |
| HAJARI MALL PANDYA  | 1                          | 18000  | 0                                     | 0  | 0  | 1.8896  | 18000   | 0       | 18000  | 1.8896  | 0                                | 0.0000                          | NA  | 0   |                          |  |
| KAVITA BAID   | 1                          | 17930  | 0                                     | 0  | 0  | 1.8822  | 17930   | 0       | 17930  | 1.8822  | 0                                | 0.0000                          | NA  | 17930   |                          |  |
| KAMAL SINGH JAIN  | 1                          | 10000  | 0                                     | 0  | 0  | 1.0498  | 10000   | 0       | 10000  | 1.0498  | 0                                | 0.0000                          | NA  | 10000   |                          |  |
| ii. Individual shareholders holding nominal share capital in excess of Rs.2 lakhs | 5                          | 183241                                       | 0                                     | 0  | 0  | 19.2360   | 183241  | 0       | 183241 | 19.2360   | 0                                | 0.0000                          | NA  | 183241  |                          |  |
| MDRA KUMAR JAIN   | 1                          | 45000  | 0                                     | 0  | 0  | 4.7239  | 45000   | 0       | 45000  | 4.7239  | 0                                | 0.0000                          | NA  | 45000   |                          |  |
| MANPHOOL DEVI BAID  | 1                          | 45000  | 0                                     | 0  | 0  | 4.7239  | 45000   | 0       | 45000  | 4.7239  | 0                                | 0.0000                          | NA  | 45000   |                          |  |
| NIRMAL KUMAR SINGHI   | 1                          | 44000  | 0                                     | 0  | 0  | 4.6190  | 44000   | 0       | 44000  | 4.6190  | 0                                | 0.0000                          | NA  | 44000   |                          |  |
| SURESH KUMAR  | 1                          | 25000  | 0                                     | 0  | 0  | 2.6244  | 25000   | 0       | 25000  | 2.6244  | 0                                | 0.0000                          | NA  | 25000   |                          |  |
| SHUNJHUNWALA  | 1                          | 24241  | 0                                     | 0  | 0  | 2.5447  | 24241   | 0       | 24241  | 2.5447  | 0                                | 0.0000                          | NA  | 24241   |                          |  |
| SANJEEV KUMAR BAID  | 1                          | 24241  | 0                                     | 0  | 0  | 2.5447  | 24241   | 0       | 24241  | 2.5447  | 0                                | 0.0000                          | NA  | 24241   |                          |  |
| (b) NBFCs registered with RBI   |                            |  |                                       |  |  |   |   |         |        |   |                                  |                                 |   |   |                          |  |
| (c) Employee Trusts   |                            |  |                                       |  |  |   |   |         |        |   |                                  |                                 |   |   |                          |  |

DEEPLOK FINANCIAL SERVICES LTD  
 Idea Plaza,  
 11/1, Sarai,  
 Bose Road,  
 Kolkata-75  
 Maheshwari Datamatics Pvt. Ltd.



| Category & Name of the Shareholders (I)<br>Overseas Depositories (holding DRs) (balancing figure)<br>(d)<br>(e)<br>Any Other (specify) | PAN (II) | Nos. of shareholders (III) | No. of fully paid up equity shares held (IV) | Partly paid-up equity shares held (V) | Nos. of underlying Depository Receipts (VI) | Total nos. shares held (VII)=(IV)+(V)+(VI) | Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII) | Number of Voting Rights held in each class of securities (IX) |         |         | Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2) | Number of Locked in shares (XII)  |                                 | Number of shares pledged or otherwise encumbered (XIII) | Number of equity shares held in dematerialized form (XIV) |                          |
|--|----------|----------------------------|--|---------------------------------------|---|--|--|---|---------|---------|--|---|---------------------------------|---|---|--------------------------|
|  |          |                            |  |                                       |   |  |  | Class x   | Class y | Total   |  | No of shares underlying convertible securities (including Warrants) (X) | As a % of total shares held (b) |   |   | No. (Not applicable) (a) |
|  |          |                            |  |                                       |   |  |  |   |         |         |  |   |                                 |   |   |                          |
| Bodies Corporate   |          | 11                         | 50300  | 0                                     | 0   | 50300                                      | 5.2803   | 50300   | 0       | 5.2803  | 0  | 0.0000  | NA                              | 49675   |   |                          |
| SUHARSH TRADE & HOLDING PVT LTD  |          | 1                          | 49675  | 0                                     | 0   | 49675                                      | 5.2147   | 49675   | 0       | 5.2147  | 0  | 0.0000  | NA                              | 49675   |   |                          |
| Sub Total  |          | 226                        | 313296                                       | 0                                     | 0   | 313296                                     | 32.8887  | 313296  | 0       | 32.8887 | 0  | 0.0000  | NA                              | 262546  |   |                          |
| Total Public Shareholding (B)=(1)+(B)(2)+(B)(3)  |          | 226                        | 313296                                       | 0                                     | 0   | 313296                                     | 32.8887  | 313296  | 0       | 32.8887 | 0  | 0.0000  | NA                              | 262546  |   |                          |



DEEPLOK FINANCIAL SERVICES LTD

Table iv - Statement Showing shareholding pattern of the Non Promoter - Non Public shareholder as on 31/03/2017

| Category & Name of the Shareholders (I)  | PAN (II) | No of shareholders (III) | No. of fully paid up equity shares held (IV) | Partly paid-up equity shares held (V) | Nos. of shares underlying Depository Receipts (VI) | Total nos. shares held (VII)=(IV)+(V)+(VI) | Shareholding % calculate as per SCRR, 1957. As a % of (A+B+C2) (VIII) | Number of Voting Rights held in each class of securities (IX) |               | Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2 | Number of Locked in shares (XII) | Number of shares pledged or otherwise encumbered (XIII) | Number of equity shares held in dematerialized form (XIV) |         |                                 |                          |  |
|--|----------|--------------------------|--|---------------------------------------|--|--|---|---|---------------|---|----------------------------------|---|---|---------|---------------------------------|--------------------------|--|
|  |          |                          |  |                                       |  |  |   | Class x   | Class y Total |   |                                  |   |   | No. (a) | As a % of total shares held (b) | No. (Not applicable) (a) | As a % of total shares held in dematerialized form (b) (XIV) |
|  |          |                          |  |                                       |  |  |   |   |               |   |                                  |   |   |         |                                 |                          |  |
| (1) Custodian / DR Holder  |          |                          |  |                                       |  |  |   |   |               |   |                                  |   |   |         |                                 |                          |  |
| (2) Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014) |          |                          |  |                                       |  |  |   |   |               |   |                                  |   |   |         |                                 |                          |  |
| Total Non Promoter - Non Public Shareholding (C)=(I)+(C2)                                |          |                          | 0  | 0                                     | 0  | 0  | 0.0000  | 0   | 0             | 0.0000  | 0                                | 0.0000  | NA  |         |                                 |                          |  |

*[Handwritten Signature]*

