

Format of holding of specified securities

1. Name of Listed Entity: DEEPLOK FINANCIAL SERVICES LTD (CIN:L17115WB1981PLC033469)
2. Scrip Code - Name of Scrip - Class of Security Equity
3. Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)
 - a. If under 31(1)(b) then indicate the report for Quarter ending: 30/09/2017
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. **Declaration** : The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3 Whether the Listed Entity has any shares against which depository receipts are issued?		No
4 Whether the Listed Entity has any shares in Locked-in?		No
5 Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the column for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-



DEEPLOK FINANCIAL SERVICES LTD

Table I - Summary Statement holding of specified securities as on 30/09/2017

Category (I)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights securities (IX)			No of shares underlying convertible securities (including Warrants) (X)	Shareholding as a % assuming convertible securities as a percentage of diluted share capital (XI)=(VII)+(X) as a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
							Class eg x	Class eg y	Total			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
(A) Promoter & Promoter group	14	683296	0	0	683296	71.7303	0	683296	0	71.7303	0	0	0	0	0.0000	683299
(B) Public	225	269296	0	0	269296	28.2697	0	269296	0	28.2697	0	0	0	0.0000	NA	218546
(C) Non Promoter - Non Public															NA	
(C1) Shares underlying DRs						NA									NA	
(C2) Shares held by Employee Trusts															NA	
Total	239	952595	0	0	952595	100.0000	0	952595	0	100.0000	0	0	0	0.0000		901845



DEEPIOK FINANCIAL SERVICES LTD

Table II - Statement Showing shareholding pattern of the Promoter and Promoter Group as on 30/09/2017

Category & Name of the Shareholders (I)	PAN (II)	Nos of shares (III)	No of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % as per SCRR 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			Total Voting rights (X)	No of securities outstanding (including Warrants) (XI)=(VII)+(X)	Underlying convertible percentage of diluted share capital (XII)=(VII)+(X)	Shareholding as a % assuming full conversion of convertible securities (as a % of diluted share capital) (XIII)=(VII)+(X)+(XII)	Number of shares pledged or otherwise encumbered (XIV)	
								Class	x	Class y						Total
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)	(16)	
(a) Individuals/Hindu undivided Family		10	479949	0	0	479949	50.3833	479949	0	479949	50.3833	0	0.0000	0	0.0000	479949
Rajendra Kumar Bachawat	AEEP88725R	1	88000	0	0	88000	9.2379	88000	0	88000	9.2379	0	0.0000	0	0.0000	88000
Surendra Kumar Bachawat	AEEP88727P	1	82699	0	0	82699	8.6814	82699	0	82699	8.6814	0	0.0000	0	0.0000	82699
Prakash Bachawat	AKWPB9763F	1	74750	0	0	74750	7.8470	74750	0	74750	7.8470	0	0.0000	0	0.0000	74750
Manendra Kumar Bachawat	AEKP3106Q	1	68350	0	0	68350	7.1751	68350	0	68350	7.1751	0	0.0000	0	0.0000	68350
Surendra Kumar Bachawat	AANFR1074Q	1	44300	0	0	44300	4.6505	44300	0	44300	4.6505	0	0.0000	0	0.0000	44300
Rajendra Kumar Bachawat	AACHR7193H	1	43600	0	0	43600	4.5770	43600	0	43600	4.5770	0	0.0000	0	0.0000	43600
Deepak Bachawat	AGXPB6375J	1	37500	0	0	37500	3.9366	37500	0	37500	3.9366	0	0.0000	0	0.0000	37500
Alok Bachawat	AGGPB5682D	1	28900	0	0	28900	3.0338	28900	0	28900	3.0338	0	0.0000	0	0.0000	28900
Mishri Devi Bachawat	AEEP88726N	1	11800	0	0	11800	1.2387	11800	0	11800	1.2387	0	0.0000	0	0.0000	11800
Saroj Bachawat	ADJJP0879Q	1	50	0	0	50	0.0052	50	0	50	0.0052	0	0.0000	0	0.0000	50
Central Government/State Government(s)																
(b) Financial Institutions/Banks																
(c) Any Other (specify)																
Bodies Corporate		4	203350	0	0	203350	21.3470	203350	0	203350	21.3470	0	0.0000	0	0.0000	203350
Davlat Financial Services Private Limited	AAACD8739N	1	68300	0	0	68300	7.1699	68300	0	68300	7.1699	0	0.0000	0	0.0000	68300
Deeplok Securities Ltd	AAACD9152H	1	56450	0	0	56450	5.9259	56450	0	56450	5.9259	0	0.0000	0	0.0000	56450
Vinayak Dealer Pvt Ltd	AAACV5573C	1	43500	0	0	43500	4.5665	43500	0	43500	4.5665	0	0.0000	0	0.0000	43500
R S M Builders & Securities Pvt Ltd	AAECR3143B	1	35100	0	0	35100	3.6847	35100	0	35100	3.6847	0	0.0000	0	0.0000	35100
Sub Total		14	683299	0	0	683299	71.7303	683299	0	683299	71.7303	0	0.0000	0	0.0000	683299
Foreign Individuals/Non-Resident Individuals/Foreign Individuals																
(d) Government																
Institutions																
Foreign Portfolio Investor																
(e) Any Other (specify)																
Sub Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0
Total Shareholding of Promoter and Promoter Group (A)=(A1)+(A2)		14	683299	0	0	683299	71.7303	683299	0	683299	71.7303	0	0.0000	0	0.0000	683299



DEEPLOK FINANCIAL SERVICES LTD

Table III - Statement Showing shareholding pattern of the Public shareholder as on 30/09/2017

Category & Name of the Shareholders (I)	PAN (II)	Nos of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos of shares underlying Depository Receipts (VI)	Total nos shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (X)=(VII)+(X)	No of shares outstanding convertible securities (including Warrants) (XI)	As a % of total shares held (Not applicable) (a) (XII)	As a % of total equity shares held in dematerialized form (Not applicable) (b) (XIII)
								Class x	Class y	Total				
(1) Institutions														
(e) Mutual Funds / UTI														
(b) Venture Capital Funds														
(c) Alternate Investment Funds														
(d) Foreign Venture Capital Investors														
(e) Foreign Portfolio Investors														
(f) Financial Institutions / Banks														
(g) Insurance Companies														
(h) Provident Funds / Pension Funds														
(i) Any Other (specify)														
Sub Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	
(2) Central Government / State Government(s) / President of India														
(3) Non-Institutions														
(a) Individuals-														
i. Individual shareholders holding nominal share capital upto Rs 2 lakhs		210	79755	0	0	79755	8.3724	79755	0	79755	8.3724	0	0.0000	
HAJARI MALL PANDYA		1	18000	0	0	18000	1.8896	18000	0	18000	1.8896	0	0.0000	
KAVITA BAID	AEEB5478D	1	17930	0	0	17930	1.8822	17930	0	17930	1.8822	0	0.0000	
KAMAL SINGH JAIN	ACQPJ558L	1	10000	0	0	10000	1.0498	10000	0	10000	1.0498	0	0.0000	
ii. Individual shareholders holding nominal share capital in excess of Rs 2 lakhs		4	139241	0	0	139241	14.6170	139241	0	139241	14.6170	0	0.0000	
INDRA KUMAR JAIN	ACIPJ267N	1	45000	0	0	45000	4.7239	45000	0	45000	4.7239	0	0.0000	
MANPHOOL DEVI BAID	ADUP64530D	1	45000	0	0	45000	4.7239	45000	0	45000	4.7239	0	0.0000	
SURESH KUMAR JHUNJHUNWALA		1	25000	0	0	25000	2.6244	25000	0	25000	2.6244	0	0.0000	
SANJEEV KUMAR BAID	AECPE6647Q	1	24241	0	0	24241	2.5447	24241	0	24241	2.5447	0	0.0000	
(b) NBFCs registered with RBI														
(c) Employee Trusts														



DEEPLOK FINANCIAL SERVICES LTD

Table III - Statement Showing shareholding pattern of the Public shareholder as on 30/09/2017

Category & Name of the Shareholders (I)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % as per SCRR 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)		Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X)	No. of shares outstanding convertible securities (including Warrants) (X)	As a % of total shares held (b) (a) (XII)	Number of shares pledged or otherwise encumbered (XIII)	As a % of total equity shares held in dematerialized form (b) (a) (XIV)	
							Class x	Class y Total						
(d) Overseas Depositories (holding DRs) (balancing figure)														
(e) Any Other (specify)														
Bodies Corporate	11	50300	0	0	50300	5.2803	50300	0	50300	5.2803	0	0.0000	NA	49675
SUHARSH TRADE & HOLDING PVT LTD	1	49675	0	0	49675	5.2147	49675	0	49675	5.2147	0	0.0000	NA	49675
Sub Total	225	269296	0	0	269296	28.2697	269296	0	269296	28.2697	0	0.0000	NA	218546
Total Public Shareholding (B)=(I)+(B)(2)+(B)(3)	225	269296	0	0	269296	28.2697	269296	0	269296	28.2697	0	0.0000	NA	218546



DEEPLOK FINANCIAL SERVICES LTD

Table iv - Statement Showing shareholding pattern of the Non Promoter - Non Public shareholder as on 30/09/2017

Category & Name of the Shareholders (I)	PAN (II)	No of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Shareholding % calculated as per SCRR, 1957) As a % of (VII)=(IV) + (V)+(VI) (VII)	Number of Voting Rights held in each class of securities (IX)			Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (X)=(VII)+(X) As a % of (A+B+C2) No. (a)	Number of Locked in shares (XII)	Number of shares pledged or otherwise encumbered (XIII)	As a % of total shares held (Not applicable) (Not applicable) (b) (a) (b) (XIV)		
							No of Voting Rights								
							Class x	Class y	Total						
(1) Custodian / DR Holder															
Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)															
(2) Total Non Promoter - Non Public Shareholding (C)=(I)+(C)(2)		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0

