

**Format of holding of specified securities**

Annexure - I

1. Name of Listed Entity: DEEPLOK FINANCIAL SERVICES LTD (CIN:L17115WB1981PLC033469)
2. Scrip Code - Name of Scrip -: Class of Security Equity
3. Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)
  - a. If under 31 (1)(b) then indicate the report for Quarter ending: 31/03/2019
  - b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:-

| Particulars  | Yes* | No* |
|--|------|-----|
| 1 Whether the Listed Entity has issued any partly paid up shares?                        |      | No  |
| 2 Whether the Listed Entity has issued any Convertible Securities or Warrants?           |      | No  |
| 3 Whether the Listed Entity has any shares against which depository receipts are issued? |      | No  |
| 4 Whether the Listed Entity has any shares in Locked-in?                                 |      | No  |
| 5 Whether any shares held by promoters are pledge or otherwise encumbered?               |      | No  |

\* If the Listed Entity selects the option 'No' for the questions above, the column for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-



| (A)  | Category of shareholder (II)   | Nos. of shareholder (III) | No. of fully paid up equity shares held (IV) | No of Partly paid-up equity shares held (V) | No. of shares underlying Depository Receipts (VI) | Total nos. shares held (VII)=(IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) |             |        | No of shares underlying convertible securities (including Warrants) (X) | Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) |                                 | Number of shares pledged or otherwise encumbered (XIII) | Number of dematerialized shares held in form (XIV) |
|------|--------------------------------|---------------------------|--|---|---|--|---|---|-------------|--------|---|---|----------------------------------|---------------------------------|---|--|
|      |                                |                           |  |   |   |  |   | Class eg: x   | Class eg: y | Total  |   |   | As a % of total shares held (b)  | As a % of total shares held (b) |   |  |
| (A)  | Promoter & Promoter group      | 14                        | 701299                                       | 0   | 0   | 701299                                     | 73.6198   | 701299  | 0           | 701299 | 73.6198   | 0   | 0.0000                           | 0                               | 0.0000  | 701299   |
| (B)  | Public                         | 221                       | 251296                                       | 0   | 0   | 251296                                     | 26.3802   | 251296  | 0           | 251296 | 26.3802   | 0   | 0.0000                           | NA                              | NA  | 219796   |
| (C)  | Non Promoter - Non Public      |                           |  |   |   |  |   |   |             |        |   |   |                                  | NA                              | NA  |  |
| (C1) | Shares underlying DRS          |                           |  |   |   |  | NA  |   |             |        |   |   |                                  | NA                              | NA  |  |
| (C2) | Shares held by Employee Trusts |                           |  |   |   |  |   |   |             |        |   |   |                                  | NA                              | NA  |  |
|      | Total                          | 235                       | 952595                                       | 0   | 0   | 952595                                     | 100.0000  | 952595  | 0           | 952595 | 100.0000  | 0   |                                  |                                 |   | 921095   |



DEEPLUK FINANCIAL SERVICES LTD  
Table II - Statement Showing shareholding pattern of the Promoter and Promoter Group as on 31/03/2019

| (1) | Category & Name of the Shareholders (I)                            | PAN (II)   | Nos. of shares held (III) | No. of fully paid up equity shares held (IV) | Partly paid-up equity shares held (V) | Nos. of underlying Depository Receipts (VI) | Total nos. shares held (VII)=(IV)+(V)+(VI) | Shareholding % as per SCRR, 1957) As a % of (A+B+C2) (VIII) | Number of Voting Rights held in each class of securities (X) |         |        | Total as a % of Voting rights (X) | No. of underlying convertible securities (including Warrants) (X) | Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | As a % of total shares held (b) | Number of shares pledged or otherwise encumbered (XIII) | As a % of total shares held (b) | Number of equity shares held in dematerialized form (XIV) |
|-----|--|------------|---------------------------|--|---------------------------------------|---|--|---|--|---------|--------|-----------------------------------|---|---|----------------------------------|---------------------------------|---|---------------------------------|---|
|     |  |            |                           |  |                                       |   |  |   | Class x  | Class y | Total  |                                   |   |   |                                  |                                 |   |                                 |   |
| (a) | Individuals/Hindu undivided Family                                 |            | 10                        | 497949                                       | 0                                     | 0   | 497949                                     | 52.2729   | 497949   | 0       | 497949 | 52.2729                           | 0   | 0   | 0.0000                           | 0                               | 0.0000  | 0                               | 497949  |
|     | Rajendra Kumar Bachhavai   | AEEP88725R | 1                         | 89000  | 0                                     | 0   | 89000                                      | 9.2379  | 89000  | 0       | 89000  | 9.2379                            | 0   | 0   | 0.0000                           | 0                               | 0.0000  | 0                               | 89000   |
|     | Surentra Kumar Bachhavai   | AEEP88727P | 1                         | 82699  | 0                                     | 0   | 82699                                      | 8.6814  | 82699  | 0       | 82699  | 8.6814                            | 0   | 0   | 0.0000                           | 0                               | 0.0000  | 0                               | 82699   |
|     | Prakash Bachhavai  | AKWP89763F | 1                         | 74750  | 0                                     | 0   | 74750                                      | 7.8470  | 74750  | 0       | 74750  | 7.8470                            | 0   | 0   | 0.0000                           | 0                               | 0.0000  | 0                               | 74750   |
|     | Mahendra Kumar Bachhavai   | AEKP83106Q | 1                         | 68350  | 0                                     | 0   | 68350                                      | 7.1751  | 68350  | 0       | 68350  | 7.1751                            | 0   | 0   | 0.0000                           | 0                               | 0.0000  | 0                               | 68350   |
|     | Deepak Bachhavai   | AGXP88375L | 1                         | 55500  | 0                                     | 0   | 55500                                      | 5.8262  | 55500  | 0       | 55500  | 5.8262                            | 0   | 0   | 0.0000                           | 0                               | 0.0000  | 0                               | 55500   |
|     | Surentra Kumar Bachhavai   | AAANR1074Q | 1                         | 44300  | 0                                     | 0   | 44300                                      | 4.6505  | 44300  | 0       | 44300  | 4.6505                            | 0   | 0   | 0.0000                           | 0                               | 0.0000  | 0                               | 44300   |
|     | Rajendra Kumar Bachhavai   | AACHR193H  | 1                         | 43600  | 0                                     | 0   | 43600                                      | 4.5770  | 43600  | 0       | 43600  | 4.5770                            | 0   | 0   | 0.0000                           | 0                               | 0.0000  | 0                               | 43600   |
|     | Alok Bachhavai   | AGGP85662D | 1                         | 28900  | 0                                     | 0   | 28900                                      | 3.0338  | 28900  | 0       | 28900  | 3.0338                            | 0   | 0   | 0.0000                           | 0                               | 0.0000  | 0                               | 28900   |
|     | Mahni Devi Bachhavai   | AEEP88726N | 1                         | 11800  | 0                                     | 0   | 11800                                      | 1.2387  | 11800  | 0       | 11800  | 1.2387                            | 0   | 0   | 0.0000                           | 0                               | 0.0000  | 0                               | 11800   |
|     | Saroj Bachhavai  | ADJP80879Q | 1                         | 50   | 0                                     | 0   | 50   | 0.0052  | 50   | 0       | 50     | 0.0052                            | 0   | 0   | 0.0000                           | 0                               | 0.0000  | 0                               | 50  |
| (b) | Central Government/State Government(s)                             |            |                           |  |                                       |   |  |   |  |         |        |                                   |   |   |                                  |                                 |   |                                 |   |
| (c) | Financial Institutions/Banks                                       |            |                           |  |                                       |   |  |   |  |         |        |                                   |   |   |                                  |                                 |   |                                 |   |
| (d) | Any Other (specify)  |            |                           |  |                                       |   |  |   |  |         |        |                                   |   |   |                                  |                                 |   |                                 |   |
|     | Bodies Corporate   |            | 4                         | 203350                                       | 0                                     | 0   | 203350                                     | 21.3470   | 203350   | 0       | 203350 | 21.3470                           | 0   | 0   | 0.0000                           | 0                               | 0.0000  | 0                               | 203350  |
|     | Daulat Financial Services Private Limited                          | AAACD8739N | 1                         | 68300  | 0                                     | 0   | 68300                                      | 7.1699  | 68300  | 0       | 68300  | 7.1699                            | 0   | 0   | 0.0000                           | 0                               | 0.0000  | 0                               | 68300   |
|     | Deepluk Securities Ltd   | AAACD9152H | 1                         | 56450  | 0                                     | 0   | 56450                                      | 5.9259  | 56450  | 0       | 56450  | 5.9259                            | 0   | 0   | 0.0000                           | 0                               | 0.0000  | 0                               | 56450   |
|     | Vinayak Dealer Pvt Ltd   | AAACV973C  | 1                         | 43500  | 0                                     | 0   | 43500                                      | 4.5665  | 43500  | 0       | 43500  | 4.5665                            | 0   | 0   | 0.0000                           | 0                               | 0.0000  | 0                               | 43500   |
|     | R S M Builders & Securities Pvt Ltd                                | AABCR3143B | 1                         | 35100  | 0                                     | 0   | 35100                                      | 3.6847  | 35100  | 0       | 35100  | 3.6847                            | 0   | 0   | 0.0000                           | 0                               | 0.0000  | 0                               | 35100   |
|     | Sub Total  |            | 14                        | 701299                                       | 0                                     | 0   | 701299                                     | 73.6198   | 701299   | 0       | 701299 | 73.6198                           | 0   | 0   | 0.0000                           | 0                               | 0.0000  | 0                               | 701299  |
| (2) | Foreign Individuals (Non-Resident Individuals/Foreign Individuals) |            |                           |  |                                       |   |  |   |  |         |        |                                   |   |   |                                  |                                 |   |                                 |   |
| (a) | Government   |            |                           |  |                                       |   |  |   |  |         |        |                                   |   |   |                                  |                                 |   |                                 |   |
| (b) | Institutions   |            |                           |  |                                       |   |  |   |  |         |        |                                   |   |   |                                  |                                 |   |                                 |   |
| (c) | Foreign Portfolio Investor   |            |                           |  |                                       |   |  |   |  |         |        |                                   |   |   |                                  |                                 |   |                                 |   |
| (d) | Any Other (specify)  |            |                           |  |                                       |   |  |   |  |         |        |                                   |   |   |                                  |                                 |   |                                 |   |
| (e) | Sub Total  |            | 0                         | 0  | 0                                     | 0   | 0  | 0.0000  | 0  | 0       | 0      | 0.0000                            | 0   | 0   | 0.0000                           | 0                               | 0.0000  | 0                               | 0   |
|     | Total Shareholding of Promoter and Promoter Group (A)=(1)+(A2)     |            | 14                        | 701299                                       | 0                                     | 0   | 701299                                     | 73.6198   | 701299   | 0       | 701299 | 73.6198                           | 0   | 0   | 0.0000                           | 0                               | 0.0000  | 0                               | 701299  |



| (1)<br>Category & Name of the Shareholders (I)                                    | (2)<br>PAN (II) | (3)<br>Nos. of shareholders (III) | (4)<br>No. of fully paid up equity shares held (IV) | (5)<br>Partly paid-up equity shares held (V) | (6)<br>Nos. of shares underlying Depository Receipts (VI) | (7)<br>Total nos. shares held (VII)=(IV)+(V)+(VI) | (8)<br>Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII) | (9)<br>Number of Voting Rights held in each class of securities (X) |         |        | (10)<br>Total as a % of Total Voting rights (X) | (11)<br>No of shares Underlying outstanding convertible securities (including Warrants) (XI)=(VII)+(X) | (12)<br>Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (X)=(VII)+(X) | (13)<br>Number of Locked in shares (XII) |     | (14)<br>Number of shares pledged or otherwise encumbered (XIII) | (15)<br>Number of shares of total equity held in dematerialized form (XIV) |
|---|-----------------|-----------------------------------|---|--|---|---|---|---|---------|--------|---|--|---|--|-----|---|--|
|   |                 |                                   |   |  |   |   |   | Class x   | Class y | Total  |   |  |   | (a)                                      | (b) |   |  |
| (a) Mutual Funds / UTI  |                 |                                   |   |  |   |   |   |   |         |        |   |  |   |  |     |   |  |
| (b) Venture Capital Funds   |                 |                                   |   |  |   |   |   |   |         |        |   |  |   |  |     |   |  |
| (c) Alternate Investment Funds  |                 |                                   |   |  |   |   |   |   |         |        |   |  |   |  |     |   |  |
| (d) Foreign Venture Capital Investors   |                 |                                   |   |  |   |   |   |   |         |        |   |  |   |  |     |   |  |
| (e) Foreign Portfolio Investors   |                 |                                   |   |  |   |   |   |   |         |        |   |  |   |  |     |   |  |
| (f) Financial Institutions / Banks  |                 |                                   |   |  |   |   |   |   |         |        |   |  |   |  |     |   |  |
| (g) Insurance Companies   |                 |                                   |   |  |   |   |   |   |         |        |   |  |   |  |     |   |  |
| (h) Provident Funds / Pension Funds   |                 |                                   |   |  |   |   |   |   |         |        |   |  |   |  |     |   |  |
| (i) Any Other (specify)   |                 |                                   |   |  |   |   |   |   |         |        |   |  |   |  |     |   |  |
| Sub Total   |                 | 0                                 | 0   | 0  | 0   | 0   | 0.0000  | 0   | 0       | 0      | 0.0000  | 0  | 0   | 0.0000                                   | NA  | 0   |  |
| (2) Central Government / State Government(s) / President of India                 |                 |                                   |   |  |   |   |   |   |         |        |   |  |   |  |     |   |  |
| (3) Non-institutions  |                 |                                   |   |  |   |   |   |   |         |        |   |  |   |  |     |   |  |
| (a) Individuals-  |                 |                                   |   |  |   |   |   |   |         |        |   |  |   |  |     |   |  |
| i. Individual shareholders holding nominal share capital upto Rs 2 lakhs          |                 | 205                               | 74955   | 0  | 0   | 74955   | 7.8685  | 74955   | 0       | 74955  | 7.8685  | 0  | 0   | 0.0000                                   | NA  | 46880   |  |
| KAVITA BAID   | AEEPBS478D      | 1                                 | 17930   | 0  | 0   | 17930   | 1.8822  | 17930   | 0       | 17930  | 1.8822  | 0  | 0   | 0.0000                                   | NA  | 17930   |  |
| KAMAL SINGH JAIN  | ACQPJ7558L      | 1                                 | 10000   | 0  | 0   | 10000   | 1.0498  | 10000   | 0       | 10000  | 1.0498  | 0  | 0   | 0.0000                                   | NA  | 10000   |  |
| ii. Individual shareholders holding nominal share capital in excess of Rs 2 lakhs |                 | 3                                 | 114241  | 0  | 0   | 114241  | 11.9926   | 114241  | 0       | 114241 | 11.9926   | 0  | 0   | 0.0000                                   | NA  | 114241  |  |
| INDRA KUMAR JAIN  | ACIPJ7267N      | 1                                 | 45000   | 0  | 0   | 45000   | 4.7239  | 45000   | 0       | 45000  | 4.7239  | 0  | 0   | 0.0000                                   | NA  | 45000   |  |
| MANPHOOL DEVI BAID  | ADUPB4530D      | 1                                 | 45000   | 0  | 0   | 45000   | 4.7239  | 45000   | 0       | 45000  | 4.7239  | 0  | 0   | 0.0000                                   | NA  | 45000   |  |
| Sushil Kumar Baid   | AAQPBS256P      | 1                                 | 24241   | 0  | 0   | 24241   | 2.5447  | 24241   | 0       | 24241  | 2.5447  | 0  | 0   | 0.0000                                   | NA  | 24241   |  |
| (b) NBFCs registered with RBI   |                 |                                   |   |  |   |   |   |   |         |        |   |  |   |  |     |   |  |
| (c) Employee Trusts   |                 |                                   |   |  |   |   |   |   |         |        |   |  |   |  |     |   |  |
| (d) Overseas Depositors (holding DRs) (balancing figure)                          |                 |                                   |   |  |   |   |   |   |         |        |   |  |   |  |     |   |  |
| (e) Any Other (specify)   |                 |                                   |   |  |   |   |   |   |         |        |   |  |   |  |     |   |  |



| Category & Name of the Shareholders (I)            | PAN (II) | Nos. of sharehold ers (III) | No. of fully paid up equity shares held (IV) | Partly paid-up equity shares held (V) | Nos. of shares Depository Receipts (VI) | Total nos. shares held (VII)=(V)+(VI) | Shareholding % calculate d as per SCRR, 1957) As a % of (A+B+C2 ) (VIII) | Number of Voting Rights held in each class of securities (IX) |         |        | Total as a % of Total Voting rights (X) | No of shares Underlying convertible securities (including Warrants) (XI)=(VII)+X(X) | Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XII)=(VII)+X(X)+A+B+C2) | Number of Locked in shares (XIII) |     | Number of shares pledged or otherwise encumbered (XIV) | Number of of total equity shares held in demateria lized form (XV) |
|--|----------|-----------------------------|--|---------------------------------------|---|---------------------------------------|--|---|---------|--------|---|---|--|-----------------------------------|-----|--|--|
|  |          |                             |  |                                       |   |                                       |  | Class x   | Class y | Total  |   |   |  | (a)                               | (b) |  |  |
| Bodies Corporate                                   |          | 11                          | 50300  | 0                                     | 0                                       | 50300                                 | 5.2803   | 50300   | 0       | 50300  | 5.2803                                  | 0   | 0.0000   | NA                                | NA  | 49675  |  |
| SUHARSH TRADE & HOLDING PVT LTD                    |          | 1                           | 49675  | 0                                     | 0                                       | 49675                                 | 5.2147   | 49675   | 0       | 49675  | 5.2147                                  | 0   | 0.0000   | NA                                | NA  | 49675  |  |
| Trusts   |          | 2                           | 11800  | 0                                     | 0                                       | 11800                                 | 1.2387   | 11800   | 0       | 11800  | 1.2387                                  | 0   | 0.0000   | NA                                | NA  | 9000   |  |
| Sub Total  |          | 221                         | 251296                                       | 0                                     | 0                                       | 251296                                | 26.3802  | 251296  | 0       | 251296 | 26.3802                                 | 0   | 0.0000   | NA                                | NA  | 219796   |  |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) |          | 221                         | 251296                                       | 0                                     | 0                                       | 251296                                | 26.3802  | 251296  | 0       | 251296 | 26.3802                                 | 0   | 0.0000   | NA                                | NA  | 219796   |  |



Table IV - Statement Showing shareholding pattern of the Non Promoter - Non Public shareholder as on 31/03/2019

| (1)<br>Category & Name of the Shareholders (i)<br>Custodian / DR Holder                           | (ii)<br>PAN | (iii)<br>No of sharehold<br>ers (iii) | (iv)<br>No. of fully<br>paid up<br>equity<br>shares held<br>(iv) | (v)<br>Partly paid-<br>up equity<br>shares<br>held (v) | (vi)<br>Nos. of<br>shares<br>underlying<br>Depository<br>Receipts<br>(vi) | (vii)<br>Total<br>nos. share<br>s held<br>(vii)=(iv)<br>+ (v)+(vi) | Sharehold<br>ing %<br>calculate<br>d as per<br>SCRR,<br>1957)<br>As a %<br>of<br>(A+B+C2<br>) (viii) | Number of Voting Rights held in each class of securities (X) |         |       | Total as a<br>% of Total<br>Voting<br>rights<br>(X) | Total<br>Shareholding<br>as a %<br>assuming full<br>conversion of<br>convertible<br>securities (as<br>a percentage<br>of diluted<br>share capital)<br>(xi)=(vii)+<br>(x) | Number of Locked in<br>shares (xii) |   | Number of<br>shares<br>pledged or<br>otherwise<br>encumbered<br>(xiii) | As a<br>% of<br>total<br>share<br>s<br>(Not<br>held<br>in<br>dematerializ<br>ed form<br>(xiv) |   |
|---|-------------|---------------------------------------|--|--|---|--|--|--|---------|-------|---|--|-------------------------------------|---|--|---|---|
|   |             |                                       |  |  |   |  |  | Class x<br>No of Voting Rights                               | Class y | Total |   |  | (a)<br>No. (a)                      | (b)<br>As a % of<br>total<br>shares<br>held (b) |  |   |   |
| (2)<br>Employee Benefit Trust (under<br>SEBI (Share based Employee<br>Benefit) Regulations, 2014) |             |                                       | 0  | 0  | 0   | 0  | 0.0000   | 0  | 0       | 0     | 0.0000  | 0  | 0                                   | 0.0000  | NA   | NA  | 0 |
| Total Non Promoter - Non Public<br>Shareholding (C)=(C1)+(C2)                                     |             |                                       | 0  | 0  | 0   | 0  | 0.0000   | 0  | 0       | 0     | 0.0000  | 0  | 0                                   | 0.0000  | NA   | NA  | 0 |

