

Format of holding of specified securities

1. Name of Listed Entity: DEEPLOK FINANCIAL SERVICES LTD (CIN:L17115WB1981PLC033469)
2. Scrip Code - Name of Scrip -; Class of Security Equity
3. Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)
  - a. If under 31 (1)(b) then indicate the report for Quarter ending: 30/09/2018
  - b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3 Whether the Listed Entity has any shares against which depository receipts are issued?		No
4 Whether the Listed Entity has any shares in Locked-in?		No
5 Whether any shares held by promoters are pledge or otherwise encumbered?		No

\* If the Listed Entity selects the option 'No' for the questions above, the column for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-



(A) Category of shareholder	(B) Nos. of sharehold	(C) No. of fully paid up equity shares held	(D) No. of Parly paid-up equity shares held	(E) No. of shares underlying Depository Receipts	(F) Total nos. shares held (VI)=(V)+ (VI)	(G) Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VII)=(V)+ (A+B+C2)	(H) Number of Voting Rights held in each class of securities (X)			(I) No of shares outstanding convertible securities (including Warrants) (X)	(J) Shareholding as a % assuming convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	(K) Number of Locked in shares (XII)		(L) Number of shares pledged or otherwise encumbered (XIII)	(M) As a % of total shares held (b) (XIV)	(N) Number of equity shares held in dematerialized form (XV)
							Class eg: x	Class eg: y	Total			Total as a % of (A+B+C)	As a % of total shares held (a)			
(A) Promoter & group	14	701299	0	0	0	73.6198	701299	0	701299	0	73.6198	0	0	0	0.0000	218546
(B) Public	224	251296	0	0	0	26.3802	251296	0	251296	0	26.3802	0	0	0	0.0000	218546
(C) Non Promoter - Non Public						NA										
(C1) Shares underlying DRs																
(C2) Shares held by Employee Trusts																
Total	238	952595	0	0	0	100.0000	952595	0	952595	0	100.0000	0	0	0	0.0000	919845



(1) Category & Name of the Shareholders (I)	PAN (II)	Nos of sharehold ers (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos shares held (VII)=(IV)+(V)+(VI)	Sharehold ing % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights (X)	No of shares outstanding (including Warrants) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)	Number of shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerialized form (XIV)
								Class x	Class y	Total					
(1) Indian Individuals/Hindu undivided Family		10	497949	0	0	497949	52.2729	497949	0	497949	52.2729	0	0.0000	0	497949
Rajendra Kumar Bachhavat	AEEP88725R	1	88000	0	0	88000	9.2379	88000	0	88000	9.2379	0	0.0000	0	88000
Surendra Kumar Bachhavat	AEEP88727P	1	82699	0	0	82699	8.6814	82699	0	82699	8.6814	0	0.0000	0	82699
Prakash Bachhavat	AKWP89763F	1	74750	0	0	74750	7.8470	74750	0	74750	7.8470	0	0.0000	0	74750
Mahendra Kumar Bachhavat	AEKP83106Q	1	68350	0	0	68350	7.1751	68350	0	68350	7.1751	0	0.0000	0	68350
Deepak Bachhavat	AGXP86375J	1	55500	0	0	55500	5.8262	55500	0	55500	5.8262	0	0.0000	0	55500
Surendra Kumar Bachhavat	AANFR1074Q	1	44300	0	0	44300	4.6505	44300	0	44300	4.6505	0	0.0000	0	44300
Rajendra Kumar Bachhavat	AACHR7193H	1	43600	0	0	43600	4.5770	43600	0	43600	4.5770	0	0.0000	0	43600
Alok Bachhavat	AGGB85662D	1	28900	0	0	28900	3.0338	28900	0	28900	3.0338	0	0.0000	0	28900
Misri Devi Bachhavat	AEEP88728N	1	11800	0	0	11800	1.2387	11800	0	11800	1.2387	0	0.0000	0	11800
Saroj Bachhavat	ADJPB0879Q	1	50	0	0	50	0.0052	50	0	50	0.0052	0	0.0000	0	50
(b) Central Government/State Government(s)															
(c) Financial Institutions/Banks															
(d) Any Other (Specify)															
Bodies Corporate		4	203350	0	0	203350	21.3470	203350	0	203350	21.3470	0	0.0000	0	203350
Dawat Financial Services Private Limited	AAAC08739N	1	68300	0	0	68300	7.1699	68300	0	68300	7.1699	0	0.0000	0	68300
Deeplok Securities Ltd	AAAC09152H	1	56450	0	0	56450	5.9259	56450	0	56450	5.9259	0	0.0000	0	56450
Vmaysak Dealer Pvt Ltd	AAAACV9573C	1	43500	0	0	43500	4.5665	43500	0	43500	4.5665	0	0.0000	0	43500
R S M Builders & Securities Pvt Ltd	AABCR3143B	1	35100	0	0	35100	3.6847	35100	0	35100	3.6847	0	0.0000	0	35100
Sub Total		14	701299	0	0	701299	73.6198	701299	0	701299	73.6198	0	0.0000	0	701299
(2) Foreign Individuals (Non-Resident Individuals/Foreign Individuals)															
(a) Government															
(b) Institutions															
(c) Foreign Portfolio Investor															
(d) Any Other (specify)															
Sub Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0
Total Shareholding of Promoter and Promoter Group		14	701299	0	0	701299	73.6198	701299	0	701299	73.6198	0	0.0000	0	701299



(1)	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shares held (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of Shares Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR (1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked In shares (XII)		Number of shares pledged or otherwise encumbered (XIII)	Number of shares held in dematerialized form (XIV)	
									Class x	Class y	Total			(a)	(b)			
(a)	Institutions Mutual Funds / UTI																	
(b)	Venture Capital Funds																	
(c)	Alternate Investment Funds																	
(d)	Foreign Venture Capital Investors																	
(e)	Foreign Portfolio Investors																	
(f)	Financial Institutions / Banks																	
(g)	Insurance Companies																	
(h)	Provident Funds / Pension Funds																	
(i)	Any Other (specify)																	
	Sub Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	0
(2)	Central Government / State Government(s) / President of India																	
(3)	Non-Institutions																	
(a)	Individuals-																	
	1. Individual shareholders holding nominal share capital upto Rs.2 lakhs		209	61755	0	0	61755	6.4828	61755	0	61755	6.4828	0	6.4828	0	0.0000	NA	29630
	KAVITA BAID	AEEPBS478D	1	17930	0	0	17930	1.8822	17930	0	17930	1.8822	0	1.8822	0	0.0000	NA	17930
	KAMAL SINGH JAIN	ACCDFJ7558L	1	10000	0	0	10000	1.0498	10000	0	10000	1.0498	0	1.0498	0	0.0000	NA	10000
	ii. Individual shareholders holding nominal share capital in excess of Rs.2 lakhs		4	139241	0	0	139241	14.6170	139241	0	139241	14.6170	0	14.6170	0	0.0000	NA	139241
	INDRA KUMAR JAIN	ACJPJ7267N	1	45000	0	0	45000	4.7239	45000	0	45000	4.7239	0	4.7239	0	0.0000	NA	45000
	MANPHOOL DEVI BAID	ADUPB4530D	1	45000	0	0	45000	4.7239	45000	0	45000	4.7239	0	4.7239	0	0.0000	NA	45000
	SURESH KUMAR		1	25000	0	0	25000	2.6244	25000	0	25000	2.6244	0	2.6244	0	0.0000	NA	25000
	JHUNJHUNVALA		1	24241	0	0	24241	2.5447	24241	0	24241	2.5447	0	2.5447	0	0.0000	NA	24241
	SANJEEV KUMAR BAID	AECPB9647Q	1	24241	0	0	24241	2.5447	24241	0	24241	2.5447	0	2.5447	0	0.0000	NA	24241
(b)	NBFCs registered with RBI																	
(c)	Employee Trusts																	
(d)	Overseas Depositories (holding DRs) (balancing figure)																	

Category & Name of the Shareholders (I)	PAN (II)	Nos. of sharehold ers (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of Shares Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculate d as per SCRR, 1957) As a % of (A+B+C2 ) (VIII)	Number of Voting Rights held in each class of securities (X)			Total as a % of Total Voting rights (X)	No of shares Underlying outstanding convertible securities (including warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerialized form (XIV)
								Class x	Class y	Total				No. (a)	As a % of total shares held (b)		
(e) Any Other (specify)																	
Bodies Corporate		11	50300	0	0	50300	5.2803	50300	0	50300	5.2803	0	0.0000	0	0.0000	NA	49675
SUHARSH TRADE & HOLDING PVT LTD		1	49675	0	0	49675	5.2147	49675	0	49675	5.2147	0	0.0000	0	0.0000	NA	49675
Sub Total		224	251296	0	0	251296	26.3802	251296	0	251296	26.3802	0	0.0000	0	0.0000	NA	218546
Total Public Shareholding		224	251296	0	0	251296	26.3802	251296	0	251296	26.3802	0	0.0000	0	0.0000	NA	218546
(B)=(B)(1)+(B)(2)+(B)(3)																	



(1) Category & Name of the Shareholders (I) Custodian / DR Holder	PAN (II)	No of sharehold ers (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos share s held (VII)=(IV) + (V)+(VI)	Sharehold ing % calculate d as per SCRR, 1957) As a % of (A+B+C2 ) (VIII)	Number of Voting Rights held in each class of securities (X)			Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X)	Number of Locked in shares (XII)	Number of shares pledged or otherwise encumbered (XIII)	As a % of total (Not held in dematerializ ed form)	Number of equity shares held (XIV)
								Class x	Class Y	Total					
(2) Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)								0	0	0	0	0	0	NA	NA
Total Non Promoter - Non Public Shareholding (C)=(C1)+(C2)			0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA

