

Format of holding of specified securities

Annexure - I

1. Name of Listed Entity: DEEPLOK FINANCIAL SERVICES LTD (CIN:L17115WB1981PLC033469)
2. Scrip Code - Name of Scrip - : - Class of Security Equity
3. Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)
 - a. If under 31 (1)(b) then indicate the report for Quarter ending: 31/03/2020
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3 Whether the Listed Entity has any shares against which depository receipts are issued?		No
4 Whether the Listed Entity has any shares in Locked-in?		No
5 Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the column for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-



Category of shareholder (I)	Nos. of shareholder shares held (II)	No. of fully paid up equity shares held (V)	No. of Partly paid-up equity shares held (VI)	No. of shares underlying Depository Receipts (VII)	Total nos. shares held (VII)=(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No of shares outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (X)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)	Number of dematerialized shares held in form (XIV)
							Class eq:x	Class eq:y	Total			As a % of total shares held (b)	As a % of total shares held (b)		
(A) Promoter & group	14	701299	0	0	701299	73.6198	701299	0	701299	73.6198	0	0.0000	0	0	701299
(B) Public	220	251296	0	0	251296	26.3802	251296	0	251296	26.3802	0	0.0000	NA	NA	230621
(C) Non Promoter - Non Public						NA							NA	NA	
(C1) Shares underlying DRS															
(C2) Shares held by Employee Trusts															
Total	234	952595	0	0	952595	100.0000	952595	0	952595	100.0000	0				931920



(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)	(16)	(17)	(18)	(19)	(20)	(21)	(22)
(a)	Individuals/Hindu undivided Family		10	497949	0	0	497949	52.2729	497949	0	497949	52.2729	0	52.2729	0	0.0000	0	0.0000	0	0.0000	497949
	RAJENDRA KUMAR BACHHAWAT	AEEP98725R	1	88000	0	0	88000	9.2379	88000	0	88000	9.2379	0	9.2379	0	0.0000	0	0.0000	0	0.0000	88000
	SURENDRA KUMAR BACHHAWAT	AEEP88727P	1	82699	0	0	82699	8.6814	82699	0	82699	8.6814	0	8.6814	0	0.0000	0	0.0000	0	0.0000	82699
	PRAKASH BACHHAWAT MAHENDRA KUMAR BACHHAWAT	AKWP89763F	1	74750	0	0	74750	7.8470	74750	0	74750	7.8470	0	7.8470	0	0.0000	0	0.0000	0	0.0000	74750
	DEEPAK BACHHAWAT BACHHAWAT	AEMP83106C	1	68350	0	0	68350	7.1751	68350	0	68350	7.1751	0	7.1751	0	0.0000	0	0.0000	0	0.0000	68350
	SURENDRA KUMAR BACHHAWAT	AGXP88375J	1	55500	0	0	55500	5.8262	55500	0	55500	5.8262	0	5.8262	0	0.0000	0	0.0000	0	0.0000	55500
	RAJENDRA KUMAR BACHHAWAT H.U.F.	AAVFR1074Q	1	44300	0	0	44300	4.6505	44300	0	44300	4.6505	0	4.6505	0	0.0000	0	0.0000	0	0.0000	44300
	ALOK BACHHAWAT MISHRI DEVI BACHHAWAT SAROJ BACHHAWAT Central Government/State Government(s)	AACHR7193H AGGP86662D AEEP88726H ADJPB0879C	1 1 1 1	43600 28900 11800 50	0 0 0 0	0 0 0 0	43600 28900 11800 50	4.5770 3.0338 1.2387 0.0052	43600 28900 11800 50	0 0 0 0	43600 28900 11800 50	4.5770 3.0338 1.2387 0.0052	0 0 0 0	4.5770 3.0338 1.2387 0.0052	0 0 0 0	0.0000 0.0000 0.0000 0.0000	0 0 0 0	0.0000 0.0000 0.0000 0.0000	0 0 0 0	0.0000 0.0000 0.0000 0.0000	43600 28900 11800 50
(b)	Financial Institutions/Banks																				
(c)	Any Other (Specify)																				
(d)	Bodies Corporate		4	203350	0	0	203350	21.3470	203350	0	203350	21.3470	0	21.3470	0	0.0000	0	0.0000	0	0.0000	203350
	DAULAT FINANCIAL SERVICES PVT. LTD.	AAACDR739N	1	68300	0	0	68300	7.1699	68300	0	68300	7.1699	0	7.1699	0	0.0000	0	0.0000	0	0.0000	68300
	DEEPAK SECURITIES LTD.	AAACD9152H	1	56450	0	0	56450	5.9259	56450	0	56450	5.9259	0	5.9259	0	0.0000	0	0.0000	0	0.0000	56450
	VINAYAK DEALER PVT. LTD.	AAACY9673C	1	43500	0	0	43500	4.5665	43500	0	43500	4.5665	0	4.5665	0	0.0000	0	0.0000	0	0.0000	43500
	RSM BUILDERS AND SECURITIES PVT. LTD.	AABCR3143B	1	35100	0	0	35100	3.6847	35100	0	35100	3.6847	0	3.6847	0	0.0000	0	0.0000	0	0.0000	35100
	Sub Total		14	701299	0	0	701299	73.6198	701299	0	701299	73.6198	0	73.6198	0	0.0000	0	0.0000	0	0.0000	701299
(2)	Foreign Individuals (Non-Resident Individuals/Foreign Individuals)																				
(a)	Government																				
(b)	Institutions																				
(c)	Foreign Portfolio Investor																				
(d)	Any Other (Specify)																				
(e)	Sub Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total Shareholding of Promoter and Promoter Group		14	701299	0	0	701299	73.6198	701299	0	701299	73.6198	0	73.6198	0	0.0000	0	0.0000	0	0.0000	701299



Table III - Statement Showing shareholding pattern of the Public shareholder as on 31/03/2020

	Category & Name of the Shareholders (i)	PAN (ii)	Nos. of shareholders (iii)	No. of fully paid up equity shares held (iv)	Partly paid-up equity shares held (v)	Nos. of shares underlying Depository Receipts (vi)	Total nos. shares held (vii)=(iv)+(v)+(vi)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)			Total as a % of Total Voting rights (x)	No of shares convertible securities (including Warrants) (xi)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi)=(vii)+(x) As a % of (A+B+C2)	No. (a)	Number of Locked in shares (xii)	Number of shares pledged or otherwise encumbered (xiii)	Number of total of equity shares held in dematerialized form (xiv)	
									Class x	Class Y	Total								
(1)	Mutual Funds/ UTI Institutions																		
(b)	Venture Capital Funds																		
(c)	Alternate Investment Funds																		
(d)	Foreign Venture Capital Investors																		
(e)	Foreign Portfolio Investors																		
(f)	Financial Institutions / Banks																		
(g)	Insurance Companies																		
(h)	Provident Funds / Pension Funds																		
(i)	Any Other (Specify)																		
	Sub Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	0	
(2)	Central Government / State Government(s) / President of India																		
(3)	Non-Institutions																		
(a)	Individuals-																		
	i. Individual shareholders holding nominal share capital upto Rs.2 lakhs		204	73705	0	0	73705	7.7373	73705	0	73705	7.7373	0	7.7373	0	0.0000	NA	53655	
	KAVITA BAID	AEEP5478D	1	17930	0	0	17930	1.8822	17930	0	17930	1.8822	0	1.8822	0	0.0000	NA	17930	
	KAMAL SINGH JAIN	ACQPJ7558L	1	10000	0	0	10000	1.0498	10000	0	10000	1.0498	0	1.0498	0	0.0000	NA	10000	
	ii. Individual shareholders holding nominal share capital in excess of Rs.2 lakhs		3	95491	0	0	95491	10.0243	95491	0	95491	10.0243	0	10.0243	0	0.0000	NA	95491	
(b)	NBFCs registered with RBI																		
(c)	Employee Trusts																		
(d)	Overseas Depositories (holding DRs) (balancing figure)																		
(e)	Any Other (Specify)																		
	Bodies Corporate		11	50300	0	0	50300	5.2803	50300	0	50300	5.2803	0	5.2803	0	0.0000	NA	49675	
	INDRA KUMAR JAIN	ACJFJ7267N	1	45000	0	0	45000	4.7239	45000	0	45000	4.7239	0	4.7239	0	0.0000	NA	45000	
	NIRMAL KUMAR SINGH	AMAP56279H	1	26250	0	0	26250	2.7556	26250	0	26250	2.7556	0	2.7556	0	0.0000	NA	26250	
	SUSHIL KUMAR BAID	AAQP83296P	1	24241	0	0	24241	2.5447	24241	0	24241	2.5447	0	2.5447	0	0.0000	NA	24241	



Category & Name of the Shareholders (I)	PAN (II)	Nos. of sharehold ers (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)		Total as a % of Total Voting rights (X)	No of shares convertible securities (including Warrants) (XI)=(VII)+(X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XII)=(VII)+(X)	Number of Locked in shares (XIII)	As a % of total shares held (b) (a)	No. of total of equity shares held (Not applicable) (a) (b)	Number of shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights	Total								
SU-HARSH TRADE & HOLDING PVT LTD		1	49675	0	0	49675	5.2147	49675	0	49675	5.2147	0	0.0000	NA	NA	49675	
Trusts		2	31800	0	0	31800	3.3382	31800	0	31800	3.3382	0	0.0000	NA	NA	31800	
VARNIKA BACH-HAWAT BENEFIT TRUST	AAAAV6224C	1	17000	0	0	17000	1.7846	17000	0	17000	1.7846	0	0.0000	NA	NA	17000	
YVVRJA BACH-AWAT BENEFIT TRUST	AAATY2932R	1	14800	0	0	14800	1.5537	14800	0	14800	1.5537	0	0.0000	NA	NA	14800	
Sub Total		220	251296	0	0	251296	26.3802	251296	0	251296	26.3802	0	0.0000	NA	NA	230621	
Total Public Shareholding		220	251296	0	0	251296	26.3802	251296	0	251296	26.3802	0	0.0000	NA	NA	230621	
(B)=(B)(1)+(B)(2)+(B)(3)																	



Table iv - Statement Showing shareholding pattern of the Non Promoter - Non Public shareholder as on 31/03/2020

(1) Category & Name of the Shareholders (I) Custodian / DR Holder	(2) PAN (II)	(3) No of sharehold ers (III)	(4) No. of fully paid up equity shares held (V)	(5) Partly paid-up equity shares held (VI)	(6) Nos. of shares underlying Depository Receipts (VII)	(7) Total nos. shares held (VII)=(IV) + (V)+(VI)	(8) Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2 (VIII)	(9) Number of Voting Rights held in each class of securities (IX)			(10) Total Shareholding as a % assuming full conversion of securities (as a percentage of diluted share capital) (XI)=(VII)+(X)	(11) Number of Locked in shares (XII)	(12) Number of shares pledged or otherwise encumbered (XIII)		(13) Number of equity shares held in dematerialized form (XIV)	
								(9a) Class x	(9b) Class y	(9c) Total			(12a) As a % of total shares held (b)	(12b) As a % of total shares held (b)		
(1) Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																
(2) Total Non Promoter - Non Public Shareholding (C)=(C1)+(C2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0



DEEPIK FINANCIAL SERVICES LTD

Annexure

Table V - Statement showing details of significant beneficial owners as on 31/03/2020

Sr No	Name	PAN / Passport No. in case of a foreign national	Nationality	Name	PAN / Passport No. in case of a foreign national	Nationality	Shares (%)	Voting rights (%)	Rights on distributable dividend or any other distribution (%)	Exercise of control	Exercise of significant influence	Date of creation / acquisition of significant beneficial interest



DEEPLOK FINANCIAL SERVICES LTD				
Distribution Schedule as on 31/03/2020				
Share Holding	No of Holders	% age	No of Shares	% age
Upto 50	131	55.9829	6525	0.6850
51 to 100	47	20.0855	4650	0.4881
101 to 150	1	0.4274	150	0.0157
151 to 250	24	10.2564	4850	0.5091
251 to 500	5	2.1368	2300	0.2414
501 to 5000	2	0.8547	3150	0.3307
Above 5000	24	10.2564	930970	97.7299
Grand Total	234	100.0000	952595	100.0000
Distribution Schedule as on 31/03/2020				
Share Holding	No of Holders	% age	No of Shares	% age
Upto 500	208	88.8889	18475	1.9394
501 to 1000	1	0.4274	650	0.0682
2001 to 3000	1	0.4274	2500	0.2624
5001 to 10000	4	1.7094	34825	3.6558
Above 10000	20	8.5470	896145	94.0741
Grand Total	234	100.0000	952595	100.0000
Distribution Schedule as on 31/03/2020				
Notional Value of (Rs.)	No of Holders	% age	Amount (Rs.)	% age
Upto 5000	208	88.8889	184750	1.9394
5001 to 10000	1	0.4274	6500	0.0682
20001 to 30000	1	0.4274	25000	0.2624
50001 to 100000	4	1.7094	348250	3.6558
Above 100000	20	8.5470	8961450	94.0741
Grand Total	234	100.0000	9525950	100.0000



DEEPLOK FINANCIAL SERVICES LTD				
Shareholding Summary as on 31/03/2020				
Form	As per Folio/Client Id		As per PAN	
	No of Records	No of Shares	No of Records	No of Shares
PHYSICAL	205	20675	205	20675
NSDL	26	882045	26	882045
CDSL	3	49875	3	49875
TOTAL	234	952595	234	952595

