

Format of holding of specified securities

Annexure - I

1. Name of Listed Entity: DEEPLOK FINANCIAL SERVICES LTD (CIN:L17115WB1981PLC033469)
2. Scrip Code - Name of Scrip -:- Class of Security Equity
3. Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)
  - a. If under 31 (1)(b) then indicate the report for Quarter ending: 30/09/2020
  - b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3 Whether the Listed Entity has any shares against which depository receipts are issued?		No
4 Whether the Listed Entity has any shares in Locked-in?		No
5 Whether any shares held by promoters are pledge or otherwise encumbered?		No

\* If the Listed Entity selects the option 'No' for the questions above, the column for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-



Table I - Summary Statement holding of specified securities as on 30/09/2020

(A)	Category of shareholder or group	Nos. of shares held (II)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of shares underlying convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)	Number of shares held in dematerialized form (XIV)
								Class eqx	Class eqy	Total			As a % of total shares held (b)	No. (a)		
(B)	Public	220	251296	0	0	251296	26.3802	251296	0	251296	26.3802	0	0.0000	NA	230621	
(C)	Non Promoter - Non Public													NA		
(C1)	Shares underlying DRS						N/A							NA		
(C2)	Shares held by Employee Trusts													NA		
	Total	234	952595	0	0	952595	100.0000	952595	0	952595	100.0000	0			931920	



(1)	Category & Name of the Shareholders (i)	PAN	(ii)	Nos. of sharehold ers (iii)	No. of fully paid up equity shares held (iv)	Partly paid-up equity shares held (v)	Nos. of shares underlying Depository Receipts (vi)	Total nos. shares held (v)+(vi)	Sharehold ing % calculated as per SCRR 1957) As a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)			Total as a % of Total Voting rights (x)	No of Shares underlying convertible securities (including Warrants) (xi)	Shareholdin g as a % assuming full conversion of convertible securities (as a percentage of allotted share capital) (X)= (vi)+(x) (A+B+C2)	Number of Locked in Shares (xii)	As a % of total shares held (b)	Number of shares pledged or otherwise encumbered (xiii)	As a % of total shares held (b)	Number of equity shares held in demateriali zed form (xiv)
										No of Voting Rights	Class x	Class y								
(a)	Individuals/Hindu undivided Family			10	497949	0	0	497949	52.2729	497949	0	497949	52.2729	0	0	0.0000	0	0.0000	0	497949
	RAJENDRA KUMAR BACHHAWAT	AEEPB8725R		1	88000	0	0	88000	9.2379	88000	0	88000	9.2379	0	0	0.0000	0	0.0000	0	88000
	SURENDRA KUMAR BACHHAWAT	AEEPB8727P		1	82699	0	0	82699	8.6814	82699	0	82699	8.6814	0	0	0.0000	0	0.0000	0	82699
	PRAKASH BACHHAWAT MAHENDRA KUMAR	AKWPB9763F		1	74750	0	0	74750	7.8470	74750	0	74750	7.8470	0	0	0.0000	0	0.0000	0	74750
	BACHHAWAT	AEKPB3106Q		1	68350	0	0	68350	7.1751	68350	0	68350	7.1751	0	0	0.0000	0	0.0000	0	68350
	DEEPAK BACHHAWAT SURENDRA KUMAR	AGXPB6375J		1	55500	0	0	55500	5.8262	55500	0	55500	5.8262	0	0	0.0000	0	0.0000	0	55500
	BACHHAWAT	AAEFR1074Q		1	44300	0	0	44300	4.6505	44300	0	44300	4.6505	0	0	0.0000	0	0.0000	0	44300
	RAJENDRA KUMAR BACHHAWAT H.U.F	AACHR7193H		1	43600	0	0	43600	4.5770	43600	0	43600	4.5770	0	0	0.0000	0	0.0000	0	43600
	ALOK BACHHAWAT MISHRI DEVI BACHHAWAT SAROJ BACHHAWAT	AGPBP5662D AEEPB8726N ADJBP0879C		1 1 1	28900 11800 50	0 0 0	0 0 0	28900 11800 50	3.0338 1.2387 0.0052	28900 11800 50	0 0 0	28900 11800 50	3.0338 1.2387 0.0052	0 0 0	0 0 0	0.0000 0.0000 0.0000	0 0 0	0.0000 0.0000 0.0000	0 0 0	28900 11800 50
(b)	Central Government/State Government(s)																			
(c)	Financial Institutions/Banks																			
(d)	Any Other (specify)																			
	Bodies Corporate			4	203350	0	0	203350	21.3470	203350	0	203350	21.3470	0	0	0.0000	0	0.0000	0	203350
	DAULAT FINANCIAL SERVICES PVT. LTD	AAAOC8739N		1	68300	0	0	68300	7.1699	68300	0	68300	7.1699	0	0	0.0000	0	0.0000	0	68300
	DEEPIK SECURITIES LTD	AAACD9152H		1	56450	0	0	56450	5.9259	56450	0	56450	5.9259	0	0	0.0000	0	0.0000	0	56450
	VINAYAK DEALER PVT. LTD	AAACV9573C		1	43500	0	0	43500	4.5665	43500	0	43500	4.5665	0	0	0.0000	0	0.0000	0	43500
	RSM BUILDERS AND SECURITIES PVT. LTD	AAABCR3143B		1	35100	0	0	35100	3.6847	35100	0	35100	3.6847	0	0	0.0000	0	0.0000	0	35100
	Sub Total			14	701299	0	0	701299	73.6198	701299	0	701299	73.6198	0	0	0.0000	0	0.0000	0	701299
(2)	Foreign Individuals (Non-Resident Individuals/Foreign Individuals)																			
(a)	Government																			
(b)	Institutions																			
(c)	Foreign Portfolio Investor																			
(d)	Any Other (specify)																			
(e)	Sub Total			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0
	Total Shareholding of Promoter and Promoter Group			14	701299	0	0	701299	73.6198	701299	0	701299	73.6198	0	0	0.0000	0	0.0000	0	701299



Table III - Statement Showing shareholding pattern of the Public shareholder as on 30/09/2020

(1)	Category & Name of the Shareholders (i)	PAN (ii)	Nos of shareholders (iii)	No. of fully paid up equity shares held (iv)	Partly paid-up equity shares held (v)	Nos. of shares Depository Receipts (vi)	Total nos shares held (vii)=(iv)+(v)+(vi)	Shareholding % calculate d as per SCRR, 1957) As a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)			Total as a % of Total Voting rights (x)	No of outstanding convertible securities (including Warrants) (xi)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xii)=(vii)-(x)	Number of Locked in shares (xiii)	As a % of total shares held (Not applicable) (a)	Number of shares pledged or otherwise encumbered (xiii)	As a % of total of equity shares held in dematerialized form (xv)		
									Class x	Class y	Total									
(a)	Mutual Funds / UTI																			
(b)	Venture Capital Funds																			
(c)	Alternate Investment Funds																			
(d)	Foreign Venture Capital Investors																			
(e)	Foreign Portfolio Investors																			
(f)	Financial Institutions / Banks																			
(g)	Insurance Companies																			
(h)	Provident Funds / Pension Funds																			
(i)	Any Other (specify)																			
	Sub Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	0		
(2)	Central Government / State Government(s) / President of India																			
(3)	Non-institutions																			
(a)	Individuals-																			
	i. Individual shareholders holding nominal share capital upto Rs.2 lakhs		204	73705	0	0	73705	7.7373	73705	0	73705	7.7373	0	7.7373	0	0.0000	NA	53655		
	KAVITA BAID	AEEP85478D	1	17930	0	0	17930	1.8822	17930	0	17930	1.8822	0	1.8822	0	0.0000	NA	17930		
	KAMAL SINGH JAIN	ACQPJ7558L	1	10000	0	0	10000	1.0498	10000	0	10000	1.0498	0	1.0498	0	0.0000	NA	10000		
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs		3	95491	0	0	95491	10.0243	95491	0	95491	10.0243	0	10.0243	0	0.0000	NA	95491		
	INDRA KUMAR JAIN	ACIPJ17267N	1	45000	0	0	45000	4.7239	45000	0	45000	4.7239	0	4.7239	0	0.0000	NA	45000		
	NIRMAL KUMAR SINGHI	AMAFS5279H	1	26250	0	0	26250	2.7556	26250	0	26250	2.7556	0	2.7556	0	0.0000	NA	26250		
	SUSHIL KUMAR BAID	AAQPB3258P	1	24241	0	0	24241	2.5447	24241	0	24241	2.5447	0	2.5447	0	0.0000	NA	24241		
(b)	NBFCs registered with RBI																			
(c)	Employee Trusts																			
(d)	Overseas Depositories (holding DRs) (balancing figure)																			
(e)	Any Other (specify)																			



Category & Name of the Shareholders (i)	PAN (ii)	Nos. of sharehold ers (iii)	No. of fully paid up equity shares held (iv)	Partly paid-up equity shares held (v)	Nos. of shares underlying Depository Receipts (vi)	Total nos. shares held (vii)=(iv)+(v)+(vi)	Shareholding % calculate d as per SCRR, 1957) As a % of (A+B+C2 ) (viii)	Number of Voting Rights held in each class of securities (ix)			Total as a % of Total Voting rights (x)	No of shares outstanding convertible securities (including Warrants) (xi)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi)=(vii)+(x) As a % of (A+B+C2)	Number of Locked in shares (xii)	Number of shares pledged or otherwise encumbered (xiii)	As a % of total of equity shares held in dematerialia form (xiv)
								Class x	Class y	Total						
Bodies Corporate		11	50300	0	0	50300	5.2803	50300	0	50300	5.2803	0	0.0000	NA	49675	
SUHASH TRADE & HOLDING PVT LTD		1	49675	0	0	49675	5.2147	49675	0	49675	5.2147	0	0.0000	NA	49675	
Trusts		2	31800	0	0	31800	3.3382	31800	0	31800	3.3382	0	0.0000	NA	31800	
VARNIKA BACHHAWAT BENEFIT TRUST	AAAAV6224C	1	17000	0	0	17000	1.7846	17000	0	17000	1.7846	0	0.0000	NA	17000	
YUVRAJ BACHHAWAT BENEFIT TRUST	AAATY2932R	1	14800	0	0	14800	1.5537	14800	0	14800	1.5537	0	0.0000	NA	14800	
Sub Total		220	251296	0	0	251296	26.3802	251296	0	251296	26.3802	0	0.0000	NA	230621	
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		220	251296	0	0	251296	26.3802	251296	0	251296	26.3802	0	0.0000	NA	230621	



DEEPLOK FINANCIAL SERVICES LTD  
Table IV - Statement Showing shareholding pattern of the Non Promoter - Non Public shareholder as on 30/09/2020

(1) Category & Name of the Shareholders (I) Custodian / DR Holder	PAN (II)	No of shares held (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights (X)	No of Underlying convertible securities (including Warrants) (XI)	Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (X)=(VII)+(XI)+(A+B+C2)	Number of Locked in shares (XII)	Number of shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerialized form (XIV)
								Class x	Class y	Total						
(1) Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)								0	0	0	0.0000					
(2) Total Non Promoter - Non Public Shareholding (C)=(1)+(XIV)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0	0



Table V - Statement showing shareholding details of significant beneficial owner		Details of the significant beneficial owner		Details of the registered owner		Details of holding/exercise of right of the SBO in the reporting company, whether direct or indirect: (ii)				Whether by virtue of:		
Sr No	Name	No. in case of a foreign national	Nationality	Name	PAN/Passport No. in case of a foreign national	Nationality	Shares %	Voting rights %	Rights on distributable dividend or any other distribution %	Exercise of control	Exercise of significant influence	Date of creation / acquisition of significant beneficial interest (iv)
1	Rajendra Kumar Bachhavai	AEFP8725R	Indian	RSM Investments	AAVFR1074Q	Indian	4.95	-	-	-	-	12.07.2012
2	Rajendra Kumar Bachhavai	AEFP8725R	Indian	Rajendra Kumar Bachhavai-Direct	AAQCH7195H	Indian	4.98	-	-	-	-	27.04.2005
3	Rajendra Kumar Bachhavai	AEFP8725R	Indian	Dault Financial Services (P) Ltd.	AAACD8739N	Indian	7.17	-	-	-	-	31.03.2011
4	Rajendra Kumar Bachhavai	AEFP8725R	Indian	RSM Builders & Securities (P) Ltd.	AAEBCR3143B	Indian	3.68	-	-	-	-	31.03.2003
5	Rajendra Kumar Bachhavai	AEFP8725R	Indian	Rajendra Kumar Bachhavai-Direct	AEFP8725R	Indian	9.24	-	-	-	-	31.03.2004
6	Prakash Bachhavai	AAVFP80733F	Indian	Dault Financial Services (P) Ltd.	AAACD8739N	Indian	7.17	-	-	-	-	31.03.2011
7	Prakash Bachhavai	AAVFP80733F	Indian	Prakash Bachhavai-Direct	AAVFP80733F	Indian	7.85	-	-	-	-	31.03.2011
8	Surendra Kumar Bachhavai	AEFP8727P	Indian	RSM Investments	AAVFR1074Q	Indian	4.05	-	-	-	-	12.07.2012
9	Surendra Kumar Bachhavai	AEFP8727P	Indian	Dault Financial Services (P) Ltd.	AAACD8739N	Indian	7.17	-	-	-	-	31.03.2011
10	Surendra Kumar Bachhavai	AEFP8727P	Indian	RSM Builders & Securities (P) Ltd.	AAEBCR3143B	Indian	3.68	-	-	-	-	31.03.2003
11	Surendra Kumar Bachhavai	AEFP8727P	Indian	Surendra Kumar Bachhavai-Direct	AEFP8727P	Indian	8.68	-	-	-	-	31.03.2004
12	Mishri Devi Bachhavai	AEFP8729N	Indian	Dault Financial Services (P) Ltd.	AAACD8739N	Indian	7.17	-	-	-	-	31.03.2011
13	Mishri Devi Bachhavai	AEFP8729N	Indian	RSM Builders & Securities (P) Ltd.	AAEBCR3143B	Indian	3.68	-	-	-	-	31.03.2003
14	Mishri Devi Bachhavai	AEFP8729N	Indian	Mishri Devi Bachhavai-Direct	AEFP8729N	Indian	1.24	-	-	-	-	31.03.1999
15	Mahendra Kumar Bachhavai	AEFP83106Q	Indian	RSM Investments	AAVFR1074Q	Indian	4.65	-	-	-	-	22.07.2012
16	Mahendra Kumar Bachhavai	AEFP83106Q	Indian	Dault Financial Services (P) Ltd.	AAACD8739N	Indian	7.17	-	-	-	-	31.03.2011
17	Mahendra Kumar Bachhavai	AEFP83106Q	Indian	RSM Builders & Securities (P) Ltd.	AAEBCR3143B	Indian	3.68	-	-	-	-	16.10.2008
18	Mahendra Kumar Bachhavai	AEFP83106Q	Indian	Mahendra Kumar Bachhavai-Direct	AEFP83106Q	Indian	7.48	-	-	-	-	02.02.2004
19	Deepak Bachhavai	AGXP8E837SJ	Indian	Dault Financial Services (P) Ltd.	AAACD8739N	Indian	7.17	-	-	-	-	31.03.2011
20	Deepak Bachhavai	AGXP8E837SJ	Indian	RSM Builders & Securities (P) Ltd.	AAEBCR3143B	Indian	3.68	-	-	-	-	13.02.2005
21	Deepak Bachhavai	AGXP8E837SJ	Indian	Deepak Bachhavai-Direct	AGXP8E837SJ	Indian	5.83	-	-	-	-	31.03.1999
22	Alok Bachhavai	AGGPF85682D	Indian	Dault Financial Services (P) Ltd.	AAACD8739N	Indian	7.17	-	-	-	-	31.03.2011
23	Alok Bachhavai	AGGPF85682D	Indian	RSM Builders & Securities (P) Ltd.	AAEBCR3143B	Indian	3.68	-	-	-	-	23.03.2013
24	Alok Bachhavai	AGGPF85682D	Indian	Alok Bachhavai-Direct	AGGPF85682D	Indian	3.03	-	-	-	-	30.04.1998
25	Manu Bachhavai	AGRP8777I	Indian	Dault Financial Services (P) Ltd.	AAACD8739N	Indian	7.17	-	-	-	-	31.03.2011
26	Manu Bachhavai	AGRP8777I	Indian	RSM Builders & Securities (P) Ltd.	AAEBCR3143B	Indian	3.68	-	-	-	-	11.01.1998
27	Saroj Bachhavai	ADJPR8Q9Q	Indian	Dault Financial Services (P) Ltd.	AAACD8739N	Indian	7.17	-	-	-	-	3.03.2011
28	Saroj Bachhavai	ADJPR8Q9Q	Indian	RSM Builders & Securities (P) Ltd.	AAEBCR3143B	Indian	3.68	-	-	-	-	21.08.1997
29	Saroj Bachhavai	ADJPR8Q9Q	Indian	Saroj Bachhavai-Direct	ADJPR8Q9Q	Indian	0.01	-	-	-	-	3.03.1999
30	Nisha Bachhavai	AAVFR7C08F	Indian	Dault Financial Services (P) Ltd.	AAACD8739N	Indian	7.17	-	-	-	-	3.03.2011
31	Nisha Bachhavai	AAVFR7C08F	Indian	RSM Builders & Securities (P) Ltd.	AAEBCR3143B	Indian	3.68	-	-	-	-	22.08.1997

