

Format of holding of specified securities

1. Name of Listed Entity: DEEPLOK FINANCIAL SERVICES LTD (CIN:L17115WB1981PLC033469)
2. Scrip Code - Name of Scrip -, Class of Security Equity
3. Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)
 - a. If under 31 (1)(b) then indicate the report for Quarter ending: 30/09/2021
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3 Whether the Listed Entity has any shares against which depository receipts are issued?		No
4 Whether the Listed Entity has any shares in Locked-in?		No
5 Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the column for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-



Table I - Summary Statement holding of specified securities as on 30/09/2021

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Party paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
								Class eg:x	Class eg:y	Total								
(A)	Promoter & Promoter group	13	701299	0	0	701299	73.6198	701299	0	701299	73.6198	0	73.6198	0	0.0000	0	0.0000	701299
(B)	Public	220	251296	0	0	251296	26.3802	251296	0	251296	26.3802	0	26.3802	0	0.0000	NA		230621
(C)	Non Promoter - Non Public															NA		
(C1)	Shares underlying DRs						NA									NA		
(C2)	Shares held by Employee Trusts															NA		
	Total	233	952595	0	0	952595	100.0000	952595	0	952595	100.0000	0	100.0000	0				931920



(1)	Category & Name of the Shareholders (I)	Entity type i.e. promoter OR promoter group entity (except promoter)	PAN	(II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (as a percentage of diluted share capital) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
											No of Voting Rights					No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)		
											Class x	Class y	Total								
(1)	Indian																				
(a)	Individuals/Hindu undivided Family				9	497949	0	0	497949	52.2729	497949	0	497949	52.2729	0	52.2729	0	0.0000	0	0.0000	497949
	RAJENDRA KUMAR BACHHAWAT	Promoter	AEEP8725R		1	91933	0	0	91933	9.6506	91933	0	91933	9.6506	0	9.6506	0	0.0000	0	0.0000	91933
	SURENDRA KUMAR BACHHAWAT	Promoter	AEEP8727P		1	86632	0	0	86632	9.0943	86632	0	86632	9.0943	0	9.0943	0	0.0000	0	0.0000	86632
	PRAKASH BACHHAWAT	Promoter	AKWP39783F		1	74750	0	0	74750	7.8470	74750	0	74750	7.8470	0	7.8470	0	0.0000	0	0.0000	74750
	MAHENDRA KUMAR BACHHAWAT	Promoter	AEKPB3106Q		1	72284	0	0	72284	7.5881	72284	0	72284	7.5881	0	7.5881	0	0.0000	0	0.0000	72284
	DEEPAK BACHHAWAT	Promoter	AGXP86375J		1	55500	0	0	55500	5.8262	55500	0	55500	5.8262	0	5.8262	0	0.0000	0	0.0000	55500
	SURENDRA KUMAR BACHHAWAT	Promoter	AANFR1J74Q		1	44300	0	0	44300	4.6505	44300	0	44300	4.6505	0	4.6505	0	0.0000	0	0.0000	44300
	RAJENDRA KUMAR BACHHAWAT H.U.F.	Promoter	IAACHR7193H		1	43600	0	0	43600	4.5770	43600	0	43600	4.5770	0	4.5770	0	0.0000	0	0.0000	43600
	ALOK BACHHAWAT	Promoter	AGGP85662D		1	28900	0	0	28900	3.0338	28900	0	28900	3.0338	0	3.0338	0	0.0000	0	0.0000	28900
	SAROJ BACHHAWAT	Promoter	ADJPE0879Q		1	50	0	0	50	0.0052	50	0	50	0.0052	0	0.0052	0	0.0000	0	0.0000	50
(b)	Central Government/State Government(s)													0	0.0000	0	0.0000	0	0.0000	0	
(c)	Financial Institutions/Banks													0	0.0000	0	0.0000	0	0.0000	0	
(d)	Any Other (specify)													0	0.0000	0	0.0000	0	0.0000	0	
	Bodies Corporate				4	203350	0	0	203350	21.3470	203350	0	203350	21.3470	0	21.3470	0	0.0000	0	0.0000	203350
	DAULAT FINANCIAL SERVICES PVT. LTD.	Promoter Group	AAACD8739N		1	68300	0	0	68300	7.1699	68300	0	68300	7.1699	0	7.1699	0	0.0000	0	0.0000	68300
	DEEPLOK SECURITIES LTD.	Promoter Group	AAACD9152H		1	56450	0	0	56450	5.9259	56450	0	56450	5.9259	0	5.9259	0	0.0000	0	0.0000	56450
	VINAYAK DEALER PVT. LTD.	Promoter Group	AAACV9573C		1	43500	0	0	43500	4.5665	43500	0	43500	4.5665	0	4.5665	0	0.0000	0	0.0000	43500
	RSM BUILDERS AND SECURITIES PVT. LTD.	Promoter Group	AABCR2143B		1	35100	0	0	35100	3.6847	35100	0	35100	3.6847	0	3.6847	0	0.0000	0	0.0000	35100
	Sub Total				13	701299	0	0	701299	73.6198	701299	0	701299	73.6198	0	73.6198	0	0.0000	0	0.0000	701299
(2)	Foreign																				
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)													0	0.0000	0	0.0000	0	0.0000	0	
(b)	Government													0	0.0000	0	0.0000	0	0.0000	0	
(c)	Institutions													0	0.0000	0	0.0000	0	0.0000	0	
(d)	Foreign Portfolio Investor													0	0.0000	0	0.0000	0	0.0000	0	
(e)	Any Other (specify)													0	0.0000	0	0.0000	0	0.0000	0	
	Sub Total				0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total Shareholding of Promoter and Promoter Group (A)=(I)+(A)(2)				13	701299	0	0	701299	73.6198	701299	0	701299	73.6198	0	73.6198	0	0.0000	0	0.0000	701299



Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (as a percentage of diluted share capital) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting rights	As a % of total shares held (b)	No. (Not applicable) (a)	As a % of total shares held (Not applicable) (b)		
								Class x	Class y	Total								
(1) Institutions																		
(a) Mutual Funds / UTI																		
(b) Venture Capital Funds																		
(c) Alternate Investment Funds																		
(d) Foreign Venture Capital Investors																		
(e) Foreign Portfolio Investors																		
(f) Financial Institutions / Banks																		
(g) Insurance Companies																		
(h) Provident Funds / Pension Funds																		
(i) Any Other (specify)																		
Sub Total			0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA		0
(2) Central Government / State Government(s) / President of India																		
(3) Non-Institutions																		
(a) Individuals-																		
i. Individual shareholders holding nominal share capital upto Rs.2 lakhs			204	73705	0	0	73705	7.7373	73705	0	73705	7.7373	0	7.7373	0	0.0000	NA	53655
KAVITA BAID	AEEP85478D	1	17930	0	0	17930	1.8822	17930	0	17930	1.8822	0	1.8822	0	0.0000	NA		17930
KAMAL SINGH JAIN	ACQPJ7558L	1	10000	0	0	10000	1.0498	10000	0	10000	1.0498	0	1.0498	0	0.0000	NA		10000
ii. Individual shareholders holding nominal share capital in excess of Rs.2 lakhs			3	95491	0	0	95491	10.0243	95491	0	95491	10.0243	0	10.0243	0	0.0000	NA	95491
INDRA KUMAR JAIN	ACIPJ7267N	1	45000	0	0	45000	4.7239	45000	0	45000	4.7239	0	4.7239	0	0.0000	NA		45000
NIRMAL KUMAR SINGHI	AMAPS5279H	1	26250	0	0	26250	2.7556	26250	0	26250	2.7556	0	2.7556	0	0.0000	NA		26250
SUSHIL KUMAR BAID	AAQPB3256P	1	24241	0	0	24241	2.5447	24241	0	24241	2.5447	0	2.5447	0	0.0000	NA		24241
(b) NBFCs registered with RBI																		
(c) Employee Trusts																		
(d) Overseas Depositories (holding DRs) (balancing figure)																		
(e) Any Other (specify)																		



DEEPLOK FINANCIAL SERVICES LTD																		
Table III - Statement Showing shareholding pattern of the Public shareholder as on 30/06/2017																		
Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (as a percentage of diluted share capital) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)			
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)	As a % of total shares held (Not applicable) (b)	Number of equity shares held in dematerialized form (XIV)
								Class x	Class y	Total								
Bodies Corporate		11	50300	0	0	50300	5.2803	50300	0	50300	5.2803	0	5.2803	0	0.0000	NA		49675
SUHARSH TRADE & HOLDING PVT LTD		1	49675	0	0	49675	5.2147	49675	0	49675	5.2147	0	5.2147	0	0.0000	NA		49675
Trusts		2	31800	0	0	31800	3.3382	31800	0	31800	3.3382	0	3.3382	0	0.0000	NA		31800
VARNIKA BACHHAWAT BENEFIT TRUST	AAA AV6224C	1	17000	0	0	17000	1.7846	17000	0	17000	1.7846	0	1.7846	0	0.0000	NA		17000
YUVRAJ BACHHAWAT BENEFIT TRUST	AAATY2932R	1	14800	0	0	14800	1.5537	14800	0	14800	1.5537	0	1.5537	0	0.0000	NA		14800
Sub Total		220	251296	0	0	251296	26.3802	251296	0	251296	26.3802	0	26.3802	0	0.0000	NA		230621
Total Public Shareholding (B)=(B)(1)+(E)(2)+(B)(3)		220	251296	0	0	251296	26.3802	251296	0	251296	26.3802	0	26.3802	0	0.0000	NA		230621



Category & Name of the Shareholders (I)	PAN (II)	No of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (including Warrants) (X)	Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)		
								No of Voting Rights					As a % of total shares held (a)	As a % of total shares held (b)	No. (Not applicable) (a)	As a % of total shares held (b)			
								Class x	Class y	Total									
(1) Custodian / DR Holder																			
(2) Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																			
Total Non Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA			0	



Table V - Statement showing shareholding details of significant beneficial owner 30.09.2021

Sr No.	Details of the significant beneficial owner (i)			Details of the registered owner (ii)			Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect : (iii) Whether by virtue of:					
	Name	PAN/Passport No. in case of a foreign national	Nationality	Name	PAN/Passport No. in case of a foreign national	Nationality	Shares %	Voting rights %	Rights on distributable dividend or any other distribution %	Exercise of control	Exercise of significant influence	Date of creation / acquisition of significant beneficial interest (iv)
1	Rajendra Kumar Bachhawat	AEEP8725R	Indian	RSM Investments	AANFR1074Q	Indian	4.65	-	-	-	-	12.07.2012
2	Rajendra Kumar Bachhawat	AEEP8725R	Indian	Rajendra Kumar Bachhawat-HUF	AACHR7193H	Indian	4.58	-	-	-	-	27.04.2005
3	Rajendra Kumar Bachhawat	AEEP8725R	Indian	Daulat Financial Services (P) Ltd.	AAACD8739N	Indian	7.17	-	-	-	-	31.03.2011
4	Rajendra Kumar Bachhawat	AEEP8725R	Indian	RSM Builders & Securities (P) Ltd.	AABCR3143B	Indian	3.68	-	-	-	-	31.03.2003
5	Rajendra Kumar Bachhawat	AEEP8725R	Indian	Rajendra Kumar Bachhawat-Direct	AEEP8725R	Indian	9.65	-	-	-	-	31.03.2004
6	Prakash Bachhawat	AKWP89763F	Indian	Daulat Financial Services (P) Ltd.	AAACD8739N	Indian	7.17	-	-	-	-	31.03.2011
7	Prakash Bachhawat	AKWP89763F	Indian	Prakash Bachhawat-Direct	AKWP89763F	Indian	7.85	-	-	-	-	30.06.2003
8	Surendra Kumar Bachhawat	AEEP8727P	Indian	RSM Investments	AANFR1074Q	Indian	4.65	-	-	-	-	12.07.2012
9	Surendra Kumar Bachhawat	AEEP8727P	Indian	Daulat Financial Services (P) Ltd.	AAACD8739N	Indian	7.17	-	-	-	-	31.03.2011
10	Surendra Kumar Bachhawat	AEEP8727P	Indian	RSM Builders & Securities (P) Ltd.	AABCR3143B	Indian	3.68	-	-	-	-	31.03.2003
11	Surendra Kumar Bachhawat	AEEP8727P	Indian	Surendra Kumar Bachhawat-Direct	AEEP8727P	Indian	9.09	-	-	-	-	31.03.2004
12	Mahendra Kumar Bachhawat	AEKP83106Q	Indian	RSM Investments	AANFR1074Q	Indian	4.65	-	-	-	-	12.07.2012
13	Mahendra Kumar Bachhawat	AEKP83106Q	Indian	Daulat Financial Services (P) Ltd.	AAACD8739N	Indian	7.17	-	-	-	-	31.03.2011
14	Mahendra Kumar Bachhawat	AEKP83106Q	Indian	RSM Builders & Securities (P) Ltd.	AABCR3143B	Indian	3.68	-	-	-	-	16.10.2008
15	Mahendra Kumar Bachhawat	AEKP83106Q	Indian	Mahendra Kumar Bachhawat-Direct	AEKP83106Q	Indian	7.59	-	-	-	-	02.02.2004
16	Deepak Bachhawat	AGXP86375J	Indian	Daulat Financial Services (P) Ltd.	AAACD8739N	Indian	7.17	-	-	-	-	31.03.2011
17	Deepak Bachhawat	AGXP86375J	Indian	RSM Builders & Securities (P) Ltd.	AABCR3143B	Indian	3.68	-	-	-	-	18.02.2005
18	Deepak Bachhawat	AGXP86375J	Indian	Deepak Bachhawat-Direct	AGXP86375J	Indian	5.83	-	-	-	-	31.03.1999
19	Alok Bachhawat	AGGP8562D	Indian	Daulat Financial Services (P) Ltd.	AAACD8739N	Indian	7.17	-	-	-	-	31.03.2011
20	Alok Bachhawat	AGGP8562D	Indian	RSM Builders & Securities (P) Ltd.	AABCR3143B	Indian	3.68	-	-	-	-	26.03.2019
21	Alok Bachhawat	AGGP8562D	Indian	Alok Bachhawat-Direct	AGGP8562D	Indian	3.03	-	-	-	-	30.04.1998
22	Manju Bachhawat	AGRPS777J	Indian	Daulat Financial Services (P) Ltd.	AAACD8739N	Indian	7.17	-	-	-	-	31.03.2011
23	Manju Bachhawat	AGRPS777J	Indian	RSM Builders & Securities (P) Ltd.	AABCR3143B	Indian	3.68	-	-	-	-	13.01.1998
24	Saroj Bachhawat	ADJP80879Q	Indian	Daulat Financial Services (P) Ltd.	AAACD8739N	Indian	7.17	-	-	-	-	31.03.2011
25	Saroj Bachhawat	ADJP80879Q	Indian	RSM Builders & Securities (P) Ltd.	AABCR3143B	Indian	3.68	-	-	-	-	22.08.1997
26	Saroj Bachhawat	ADJP80879Q	Indian	Saroj Bachhawat-Direct	ADJP80879Q	Indian	0.01	-	-	-	-	31.03.1990
27	Nisha Bachhawat	AAKP8700BF	Indian	Daulat Financial Services (P) Ltd.	AAACD8739N	Indian	7.17	-	-	-	-	31.03.2011
28	Nisha Bachhawat	AAKP8700BF	Indian	RSM Builders & Securities (P) Ltd.	AABCR3143B	Indian	3.68	-	-	-	-	22.08.1997

