

Format of holding of specified securities

1. Name of Listed Entity: DEEPLOK FINANCIAL SERVICES LTD (CIN:L17115WB1981PLC033469)
2. Scrip Code - Name of Scrip -, Class of Security Equity
3. Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)
 - a. If under 31 (1)(b) then indicate the report for Quarter ending: 31/12/2022
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. **Declaration** : The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3 Whether the Listed Entity has any shares against which depository receipts are issued?		No
4 Whether the Listed Entity has any shares in Locked-in?		No
5 Whether any shares held by promoters are pledge or otherwise encumbered?		No
6 Whether company has equity shares with differential voting rights?		No
7 Whether the Listed Entity has any significant beneficial owner?	Yes	

* If the Listed Entity selects the option 'No' for the questions above, the column for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-



Table I - Summary Statement holding of specified securities as on 31/12/2022

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights: held in each class of securities (IX)				No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
								Class eg.x	Class eg.y	Total								
(A)	Promoter & Promoter group	13	701299	0	0	701299	73.6198	701299	0	701299	73.6198	0	73.6198	0	0.0000	0	0.0000	701299
(B)	Public	220	251296	0	0	251296	26.3802	251296	0	251296	26.3802	0	26.3802	0	0.0000	NA	NA	230621
(C)	Non Promoter - Non Public															NA	NA	
(C1)	Shares underlying DRs						NA									NA	NA	
(C2)	Shares held by Employee Trusts															NA	NA	
	Total	233	952595	0	0	952595	100.0000	952595	0	952595	100.0000	0	100.0000	0				931920



Table II - Statement Showing shareholding pattern of the Promoter and Promoter Group as on 31/12/2022

(1)	Category & Name of the Shareholders (I)	Entity type i.e. promoter OR promoter group entity (except promoter)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (as a percentage of diluted share capital) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
										No of Voting Rights					No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
										Class x	Class y	Total							
(a)	Indian Individuals/Hindu undivided Family			9	497949	0	0	497949	52.2729	497949	0	497949	52.2729	0	0.0000	0	0.0000	497949	
	RAJENDRA KUMAR BACHHAWAT	Promoter	AEEP88725R	1	91933	0	0	91933	9.6508	91933	0	91933	9.6508	0	0.0000	0	0.0000	91933	
	SURENDRA KUMAR BACHHAWAT	Promoter	AEEP88727P	1	86632	0	0	86632	9.0943	86632	0	86632	9.0943	0	0.0000	0	0.0000	86632	
	MAHENDRA KUMAR BACHHAWAT	Promoter	AKW/PBS763F	1	74750	0	0	74750	7.8470	74750	0	74750	7.8470	0	0.0000	0	0.0000	74750	
	DEEPAK BACHHAWAT	Promoter	AEKPB3-06Q	1	72284	0	0	72284	7.5881	72284	0	72284	7.5881	0	0.0000	0	0.0000	72284	
	SURENDRA KUMAR BACHHAWAT	Promoter	AGXPB6375J	1	55500	0	0	55500	5.8262	55500	0	55500	5.8262	0	0.0000	0	0.0000	55500	
	RAJENDRA KUMAR BACHHAWAT H.U.F.	Promoter	AANFR1074Q	1	44300	0	0	44300	4.6505	44300	0	44300	4.6505	0	0.0000	0	0.0000	44300	
	ALOK BACHHAWAT	Promoter	AACHR7193H	1	43600	0	0	43600	4.5770	43600	0	43600	4.5770	0	0.0000	0	0.0000	43600	
	SAROJ BACHHAWAT	Promoter	AGGPR5962D	1	28900	0	0	28900	3.0338	28900	0	28900	3.0338	0	0.0000	0	0.0000	28900	
	Central Government/State Government(s)		ADJPB0E79Q	1	50	0	0	50	0.0052	50	0	50	0.0052	0	0.0000	0	0.0000	50	
(b)	Financial Institutions/Banks												0.0000	0	0.0000	0	0.0000	0	
(d)	Any Other (specify)												0.0000	0	0.0000	0	0.0000	0	
	Bodies Corporate			4	203350	0	0	203350	21.3470	203350	0	203350	21.3470	0	0.0000	0	0.0000	203350	
	DAULAT FINANCIAL SERVICES PVT. LTD.	Promoter Group	AAACD8735N	1	68300	0	0	68300	7.1699	68300	0	68300	7.1699	0	0.0000	0	0.0000	68300	
	DEEPIK SECURITIES LTD.	Promoter Group	AAACD9152H	1	56450	0	0	56450	5.9259	56450	0	56450	5.9259	0	0.0000	0	0.0000	56450	
	VINAYAK DEALER PVT. LTD.	Promoter Group	AAACV9573C	1	43500	0	0	43500	4.5665	43500	0	43500	4.5665	0	0.0000	0	0.0000	43500	
	RSM BUILDERS AND SECURITIES PVT. LTD.	Promoter Group	AABCR3143B	1	35100	0	0	35100	3.6847	35100	0	35100	3.6847	0	0.0000	0	0.0000	35100	
	Sub Total			13	701299	0	0	701299	73.6198	701299	0	701299	73.6198	0	0.0000	0	0.0000	701299	
(2)	Foreign Individuals (Non-Resident Individuals/Foreign Individuals)												0.0000	0	0.0000	0	0.0000	0	
(b)	Government												0.0000	0	0.0000	0	0.0000	0	
(c)	Institutions												0.0000	0	0.0000	0	0.0000	0	
(d)	Foreign Portfolio Investor												0.0000	0	0.0000	0	0.0000	0	
(e)	Any Other (specify)												0.0000	0	0.0000	0	0.0000	0	
	Sub Total			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	
	Total Shareholding of Promoter and Promoter Group (A)=(A1)+(A2)			13	701299	0	0	701299	73.6198	701299	0	701299	73.6198	0	0.0000	0	0.0000	701299	



Table II - Statement Showing shareholding pattern of the Public shareholder as on 31/12/2022

Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VI)+(X) As a % of A+B+C2	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Sub-categorization of shares (XV) Shareholding (No of shares) under				
								No of Voting Rights			Total as a % of Total Voting rights		No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)	As a % of total shares held (Not applicable) (b)	Number of equity shares held in dematerialized form (XIV)	Sub-Category (i)	Sub-Category (ii)	Sub-Category (iii)	
								Class x	Class y	Total											
(1) Institutions (Domestic)																					
(a) Mutual Funds																					
(b) Venture Capital Funds																					
(c) Alternate Investment Funds																					
(d) Banks																					
(e) Insurance Companies																					
(f) Provident Funds / Pension Funds																					
(g) Asset Reconstruction Companies																					
(h) Sovereign Wealth Funds																					
(i) NBFCs Registered with RBI																					
(j) Other Financial Institutions																					
(k) Any Other (specify)																					
Sub Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	0	0	0	0	0
(2) Institutions (Foreign)																					
(a) Foreign Direct Investment																					
(b) Foreign Venture Capital Investors																					
(c) Sovereign Wealth Funds																					
(d) Foreign Portfolio Investors Category I																					
(e) Foreign Portfolio Investors Category II																					
(f) Overseas Depositories (holding DFs) (balancing figure)																					
(g) Any Other (specify)																					
Sub Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	0	0	0	0	0
(3) Central Government / State Government(s)																					
(a) Central Government / President of India																					
(b) State Government / Governor																					
(c) Shareholding by Companies or Bodies Corporate where Central/State Government is a promoter																					
Sub Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	0	0	0	0	0
(4) Non-Institutions																					
(a) Associate Companies / Subsidiaries																					



Table III - Statement Showing shareholding pattern of the Public shareholder as on 31/12/2022

Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (I)	Nos. of fully paid up equity shares held (IV)	Partly paid up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)			Number of shares pledged or otherwise encumbered (XIII)		Sub-categorization of shares (XV) Shareholding (No of shares) under																																		
								No of Voting Rights				No of shares Underlying outstanding convertible securities (including Warrants) (X)	No. (Not applicable) (a)	As a % of total shares held (b)	Number of equity shares held in dematerialized form (XIV)	Sub-Category (i)	Sub-Category (ii)	Sub-Category (iii)																																	
								Class x	Class y	Total									Total as a % of Total Voting rights	No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)	As a % of total shares held (Not applicable) (b)																												
(b) Directors and their relatives (excluding independent directors and nominee directors)																																																			
(c) Key Managerial Personnel																																																			
(d) Relatives of Promoters (other than immediate relatives of promoters disclosed under Promoter and Promoter Group category)																																																			
(e) Trusts where any person belonging to Promoter and Promoter Group category is trustee, beneficiary or author of the trust																																																			
(f) Investor Education and Protection Fund Authority																																																			
(g) Resident Individuals holding nominal share capital upto Rs 2 lakhs			204	73705	0	0	73705	7.7373	73705	0	73705	7.7373	0	7.7373	0	0.0000	NA	53655	0	0	0																														
	KAVITA BAID	AEEP85478D	1	17930	0	0	17930	1.8822	17930	0	17930	1.8822	0	1.8822	0	0.0000	NA	17930	0	0	0																														
	KAMAL SINGH JAIN	ACQPJ7558L	1	10000	0	0	10000	1.0498	10000	0	10000	1.0498	0	1.0498	0	0.0000	NA	10000	0	0	0																														
(h) Resident Individuals holding nominal share capital in excess of Rs 2 lakhs			3	95491	0	0	95491	10.0243	95491	0	95491	10.0243	0	10.0243	0	0.0000	NA	95491	0	0	0																														
	INDRA KUMAR JAIN	ACIPJ7267N	1	45000	0	0	45000	4.7239	45000	0	45000	4.7239	0	4.7239	0	0.0000	NA	45000	0	0	0																														
	NIRMAL KUMAR SINGHI	AMAPSS279H	1	26250	0	0	26250	2.7556	26250	0	26250	2.7556	0	2.7556	0	0.0000	NA	26250	0	0	0																														
	SUSHIL KUMAR BAID	AAQP83256P	1	24241	0	0	24241	2.5447	24241	0	24241	2.5447	0	2.5447	0	0.0000	NA	24241	0	0	0																														
(i) Non Resident Indians (NRIs)																																																			
(j) Foreign National																																																			
(k) Foreign Company / OCB																																																			
(l) Bodies Corporate		11	50300	0	0	50300	5.2803	50300	0	50300	5.2803	0	5.2803	0	0.0000	NA	49675	0	0	0																															
	SUHARSH TRADE & HOLDING PVT LTD		1	49675	0	0	49675	5.2147	49675	0	49675	5.2147	0	5.2147	0	0.0000	NA	49675	0	0	0																														
(m) Any Other (specify)																																																			
	Trusts		2	31800	0	0	31800	3.3382	31800	0	31800	3.3382	0	3.3382	0	0.0000	NA	31800	0	0	0																														
	VARNIKA BACHHAWAT BENEFIT TRUST	AAAAV6224C	1	17000	0	0	17000	1.7846	17000	0	17000	1.7846	0	1.7846	0	0.0000	NA	17000	0	0	0																														
	YUVRAJ BACHHAWAT BENEFIT TRUST	AAATY2932R	1	14800	0	0	14800	1.5537	14800	0	14800	1.5537	0	1.5537	0	0.0000	NA	14800	0	0	0																														
Sub Total			220	251296	0	0	251296	26.3802	251296	0	251296	26.3802	0	26.3802	0	0.0000	NA	230621	0	0	0																														
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)			220	251296	0	0	251296	26.3802	251296	0	251296	26.3802	0	26.3802	0	0.0000	NA	230621	0	0	0																														
Details of the shareholders acting as persons in Concert																																																			
Sl No	Name	PAN	Shares																																																
	NIL																																																		

DEEPLOK Financial Services Ltd
Ideal Plaza
11/1, Sarat
Bose Road
Kolkata-20

Table IV - Statement Showing shareholding pattern of the Non Promoter - Non Public shareholder as on 31/12/2022

Category & Name of the Shareholders (I)	PAN (II)	No of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (including Warrants) (X)	Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								Class x	No of Voting Rights				No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)	As a % of total shares held (Not applicable) (b)		
									Class y	Total								Total as a % of Total Voting rights
(1) Custodian / DR Holder																		
(2) Employee Benefit Trust/Employee Welfare Trust under SEBI (Share based Employee Benefits and Sweat Equity) Regulations, 2021																		
Total Non Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0



DEEPLOK FINANCIAL SERVICES LIMITED

Table V - Statement showing shareholding details of significant beneficial owner_ 31.12.2022

Sr No.	Details of the significant beneficial owner (I)			Details of the registered owner (II)			Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect :(III) Whether by virtue of:					Date of creation / acquisition of significant beneficial interest (IV)
	Name	PAN/Passport No. in case of a foreign national	Nationality	Name	PAN/Passport No. in case of a foreign national	Nationality	Shares %	Voting rights %	Rights on distributable dividend or any other distribution %	Exercise of control	Exercise of significant influence	
1	Rajendra Kumar Bachhawat	AEEP8725R	Indian	RSM Investments	AANFR1074Q	Indian	4.65	-	-	-	-	12.07.2012
2	Rajendra Kumar Bachhawat	AEEP8725R	Indian	Rajendra Kumar Bachhawat- HUF	AACHR7193H	Indian	4.58	-	-	-	-	27.04.2005
3	Rajendra Kumar Bachhawat	AEEP8725R	Indian	Daulat Financial Services (P) Ltd.	AAACD8739N	Indian	7.17	-	-	-	-	31.03.2011
4	Rajendra Kumar Bachhawat	AEEP8725R	Indian	RSM Builders & Securities (P) Ltd.	AABCR3143B	Indian	3.68	-	-	-	-	31.03.2003
5	Rajendra Kumar Bachhawat	AEEP8725R	Indian	Rajendra Kumar Bachhawat- Direct	AEEP8725R	Indian	9.65	-	-	-	-	31.03.2004
6	Prakash Bachhawat	AKWPB9763F	Indian	Daulat Financial Services (P) Ltd.	AAACD8739N	Indian	7.17	-	-	-	-	31.03.2011
7	Prakash Bachhawat	AKWPB9763F	Indian	Prakash Bachhawat -Direct	AKWPB9763F	Indian	7.85	-	-	-	-	30.06.2003
8	Surendra Kumar Bachhawat	AEEP8727P	Indian	RSM Investments	AANFR1074Q	Indian	4.65	-	-	-	-	12.07.2012
9	Surendra Kumar Bachhawat	AEEP8727P	Indian	Daulat Financial Services (P) Ltd.	AAACD8739N	Indian	7.17	-	-	-	-	31.03.2011
10	Surendra Kumar Bachhawat	AEEP8727P	Indian	RSM Builders & Securities (P) Ltd.	AABCR3143B	Indian	3.68	-	-	-	-	31.03.2003
11	Surendra Kumar Bachhawat	AEEP8727P	Indian	Surendra Kumar Bachhawat- Direct	AEEP8727P	Indian	9.09	-	-	-	-	31.03.2004
12	Mahendra Kumar Bachhawat	AEKPB3106Q	Indian	RSM Investments	AANFR1074Q	Indian	4.65	-	-	-	-	12.07.2012
13	Mahendra Kumar Bachhawat	AEKPB3106Q	Indian	Daulat Financial Services (P) Ltd.	AAACD8739N	Indian	7.17	-	-	-	-	31.03.2011
14	Mahendra Kumar Bachhawat	AEKPB3106Q	Indian	RSM Builders & Securities (P) Ltd.	AABCR3143B	Indian	3.68	-	-	-	-	16.10.2008
15	Mahendra Kumar Bachhawat	AEKPB3106Q	Indian	Mahendra Kumar Bachhawat-Direct	AEKPB3106Q	Indian	7.59	-	-	-	-	02.02.2004
16	Deepak Bachhawat	AGXPB6375J	Indian	Daulat Financial Services (P) Ltd.	AAACD8739N	Indian	7.17	-	-	-	-	31.03.2011
17	Deepak Bachhawat	AGXPB6375J	Indian	RSM Builders & Securities (P) Ltd.	AABCR3143B	Indian	3.68	-	-	-	-	18.02.2005
18	Deepak Bachhawat	AGXPB6375J	Indian	Deepak Bachhawat- Direct	AGXPB6375J	Indian	5.83	-	-	-	-	31.03.1999



19	Alok Bachhawat	AGGPB5662D	Indian	Daulat Financial Services (P) Ltd.	AAACD8739N	Indian	7.17	-	-	-	-	31.03.2011
20	Alok Bachhawat	AGGPB5662D	Indian	RSM Builders & Securities (P) Ltd.	AABCR3143B	Indian	3.68	-	-	-	-	26.03.2019
21	Alok Bachhawat	AGGPB5662D	Indian	Alok Bachhawat-Direct	AGGPB5662D	Indian	3.03	-	-	-	-	30.04.1998
22	Manju Bachhawat	AGRPS7777J	Indian	Daulat Financial Services (P) Ltd.	AAACD8739N	Indian	7.17	-	-	-	-	31.03.2011
23	Manju Bachhawat	AGRPS7777J	Indian	RSM Builders & Securities (P) Ltd.	AABCR3143B	Indian	3.68	-	-	-	-	13.01.1998
24	Saroj Bachhawat	ADJPB0879Q	Indian	Daulat Financial Services (P) Ltd.	AAACD8739N	Indian	7.17	-	-	-	-	31.03.2011
25	Saroj Bachhawat	ADJPB0879Q	Indian	RSM Builders & Securities (P) Ltd.	AABCR3143B	Indian	3.68	-	-	-	-	22.08.1997
26	Saroj Bachhawat	ADJPB0879Q	Indian	Saroj Bachhawat-Direct	ADJPB0879Q	Indian	0.01	-	-	-	-	31.03.1990
27	Nisha Bachhawat	AAKPB7008F	Indian	Daulat Financial Services (P) Ltd.	AAACD8739N	Indian	7.17	-	-	-	-	31.03.2011
28	Nisha Bachhawat	AAKPB7008F	Indian	RSM Builders & Securities (P) Ltd.	AABCR3143B	Indian	3.68	-	-	-	-	22.08.1997



Table VI - Statement showing foreign ownership limits

	Board approved limits	Limits utilized
As on shareholding date		
As on the end of previous 1st Quarter		
As on the end of previous 2nd Quarter		
As on the end of previous 3rd Quarter		
As on the end of previous 4th Quarter		

