

# DEEPLOK FINANCIAL SERVICES LIMITED

(CIN: L17115WB1981PLC033469)

Regd. Off: "Ideal Plaza, 11/1, Sarat Bose Road, Kolkata- 700 020.

Tel: 033 2283 7495/96, e.mail:info@jbggroup.info

Website: [www.deeplokfinancialservices.com](http://www.deeplokfinancialservices.com)

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Dated: 19.10.2022

The Secretary,  
The Calcutta Stock Exchange Ltd.  
7, Lyons Range,  
Kolkata-700 001.

**Ref: Scrip Code: 14063**

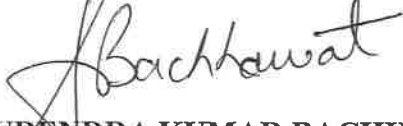
Dear Sir,

Please find enclosed the shareholding pattern pursuant to Regulation 31(1) (b) of the SEBI(LODR) Regulation, 2015 for the quarter ended 30.09.2022.

Please acknowledge receipt.

Thanking You,  
Yours faithfully,

**For DEEPLOK FINANCIAL SERVICES LIMITED**

  
**SURENDRA KUMAR BACHHAWAT**  
**MANAGING DIRECTOR**  
**(Din: 00129471)**

Encl: as above

Format of holding of specified securities

1. Name of Listed Entity: DEEPLOK FINANCIAL SERVICES LTD (CIN:L17115WB1981PLC033469)
2. Scrip Code - Name of Scrip -,- Class of Security Equity
3. Share Holding Pattern Filled under : Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)
  - a. If under 31(1)(b) then indicate the report for Quarter ending: 30/09/2022
  - b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. **Declaration** : The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3 Whether the Listed Entity has any shares against which depository receipts are issued?		No
4 Whether the Listed Entity has any shares in Locked-in?		No
5 Whether any shares held by promoters are pledge or otherwise encumbered?		No
6 Whether company has equity shares with differential voting rights?		No
7 Whether the Listed Entity has any significant beneficial owner?		No

\* If the Listed Entity selects the option 'No' for the questions above, the column for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-



Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding as a % of total nos. of shares as per SCRR, 1957 (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								Class e.g. (A)	Class e.g. (B)	Total (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
(A)	Promoter & Promoter group	13	701299	0	0	701299	73.6198	701299	0	701299	73.6198	0	0.0000	0	0.0000	0	701299
(B)	Public	220	251296	0	0	251296	26.3802	251296	0	251296	26.3802	0	0.0000	0	0.0000	NA	230621
(C)	Non Promoter - Non Public															NA	
(C1)	Shares underlying DRs						NA									NA	
(C2)	Shares held by Employee Trusts															NA	
	Total	233	952595	0	0	952595	100.0000	952595	0	952595	100.0000	0	0.0000	0	0.0000		831920



DEEPLOK FINANCIAL SERVICES LTD.  
Table II - Statement Showing shareholding pattern of the Promoter and Promoter Group as on 30/08/2022

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	Number of Voting Rights held in each class of securities (IX)			Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (X) (X)=(VII)+(X) A+B+C2	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No. of Voting Rights				Total as a % of Total Voting rights	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
								Class	x	Total							
(a)	Individuals/Hindu undivided family	9	497949	0	497949	52.2729	0	497949	52.2729	0	0.0000	0	0.0000	0	0.0000	497949	
	RAJENDRA KUMAR BACHHAWAT	1	91933	0	91933	9.6508	0	91933	9.6508	0	0.0000	0	0.0000	0	0.0000	91933	
	SURENDRA KUMAR BACHHAWAT	1	86632	0	86632	9.0943	0	86632	9.0943	0	0.0000	0	0.0000	0	0.0000	86632	
	Prakash Bachhawat	1	74750	0	74750	7.8470	0	74750	7.8470	0	0.0000	0	0.0000	0	0.0000	74750	
	MAHENDRA KUMAR BACHHAWAT	1	72284	0	72284	7.5881	0	72284	7.5881	0	0.0000	0	0.0000	0	0.0000	72284	
	DEEPAK BACHHAWAT	1	55500	0	55500	5.8282	0	55500	5.8282	0	0.0000	0	0.0000	0	0.0000	55500	
	SURENDRA KUMAR BACHHAWAT	1	44300	0	44300	4.6505	0	44300	4.6505	0	0.0000	0	0.0000	0	0.0000	44300	
	RAJENDRA KUMAR BACHHAWAT HUF	1	43600	0	43600	4.5770	0	43600	4.5770	0	0.0000	0	0.0000	0	0.0000	43600	
	ALOK BACHHAWAT	1	28900	0	28900	3.0338	0	28900	3.0338	0	0.0000	0	0.0000	0	0.0000	28900	
	SAROJ BACHHAWAT	1	50	0	50	0.0052	0	50	0.0052	0	0.0000	0	0.0000	0	0.0000	50	
	ADJP08790	1	50	0	50	0.0052	0	50	0.0052	0	0.0000	0	0.0000	0	0.0000	50	
(b)	Central Government/State Government(s)																
(c)	Financial Institutions/Banks																
(d)	Any Other (specify)																
	Bodies Corporate	4	203350	0	203350	21.3470	0	203350	21.3470	0	0.0000	0	0.0000	0	0.0000	203350	
	DAULAT FINANCIAL SERVICES PVT. LTD.	1	68300	0	68300	7.1689	0	68300	7.1689	0	0.0000	0	0.0000	0	0.0000	68300	
	DEEPLOK SECURITIES LTD.	1	56450	0	56450	5.9259	0	56450	5.9259	0	0.0000	0	0.0000	0	0.0000	56450	
	VINAYAK DEALER PVT. LTD.	1	43500	0	43500	4.5665	0	43500	4.5665	0	0.0000	0	0.0000	0	0.0000	43500	
	RSM BUILDERS AND SECURITIES PVT. LTD.	1	35100	0	35100	3.6847	0	35100	3.6847	0	0.0000	0	0.0000	0	0.0000	35100	
	Sub Total	13	701299	0	701299	73.6198	0	701299	73.6198	0	0.0000	0	0.0000	0	0.0000	701299	
(2)	Foreign Individuals (Non-Resident Individuals/Foreign Individuals)																
(a)	Government																
(b)	Institutions																
(c)	Foreign Portfolio Investor																
(d)	Any Other (specify)																
(e)	Sub Total	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
	Total Shareholding of Promoter and Promoter Group (A)=(X)+(A)(2)	13	701299	0	701299	73.6198	0	701299	73.6198	0	0.0000	0	0.0000	0	0.0000	701299	



Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % of SCRR, 1957, As a % of (A+B+C2) (VIII)	Number of Voting Rights in each class of securities (IX)			Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (X)+(Y)/(A+B+C2)	Number of Locked in shares (XI)	Number of shares pledged or otherwise encumbered (XII)	Sub-categorization of shares (XV)		
								No of Voting Rights	Class x	Class y				Class z	Sub-Category (i)	Sub-Category (ii)
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)	(16)	(17)
(a) Mutual Funds																
(b) Venture Capital Funds																
(c) Alternate Investment Funds																
(d) Banks																
(e) Insurance Companies																
(f) Provident Funds / Pension Funds																
(g) Asset Reconstruction Companies																
(h) Sovereign Wealth Funds																
(i) NBFCs Registered with RBI																
(j) Other Financial Institutions																
(k) Any Other (specify)																
(2) Sub Total Institutions (Foreign)																
(a) Foreign Direct Investment																
(b) Foreign Venture Capital Investors																
(c) Sovereign Wealth Funds																
(d) Foreign Portfolio Investors Category I																
(e) Foreign Portfolio Investors Category II																
(f) Overseas Depositories (holding DRs) (balancing figure)																
(g) Any Other (specify)																
(3) Sub Total Central Government / State Government(s)																
(a) Central Government / President of India																
(b) State Government / Governor																
(c) Shareholding by Companies or Bodies Corporate where Central/State Government is a promoter																
(4) Sub Total Non-Institutions Associate Companies / Subsidiaries																
(a)																



Category & Name of the Shareholders (I) Directors and their relatives (including independent directors and nominee directors)	PAN (II)	Nos. of sharehold-ers (III)	No. of fully paid up equity shares held (IV)	Partly paid up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV) + (V) + (VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (X) = (VII) + (X)	Number of Locked in shares (XII)	Number of shares pledged or otherwise encumbered (XIII)	Sub-categorization of shares (XV)					
								No of Voting Rights		No of shares underlying convertible securities (including Warrants) (X)				No. (a)	As a % of total shares held (b)	No. (Not appli-cable)	As a % of total equity shares held in demateriali-zed form (XIV)	Sub-Category (i)	Sub-Category (ii)
								Class x	Class y										
(b)																			
(c)																			
(d)																			
(e)																			
(f)																			
(g)		204	73705	0	0	73705	7.7373	73705	0	73705	0	0.0000	NA	53655	0	0			
			17830	0	0	17830	1.8822	17830	0	17830	0	0.0000	NA	17830	0	0			
			10000	0	0	10000	1.0498	10000	0	10000	0	0.0000	NA	10000	0	0			
(h)		3	95491	0	0	95491	10.0243	95491	0	95491	0	0.0000	NA	95491	0	0			
			45000	0	0	45000	4.7239	45000	0	45000	0	0.0000	NA	45000	0	0			
			26250	0	0	26250	2.7556	26250	0	26250	0	0.0000	NA	26250	0	0			
			24241	0	0	24241	2.5447	24241	0	24241	0	0.0000	NA	24241	0	0			
(i)																			
(j)																			
(k)																			
(l)		11	50300	0	0	50300	5.2803	50300	0	50300	0	0.0000	NA	49675	0	0			
			49675	0	0	49675	5.2147	49675	0	49675	0	0.0000	NA	49675	0	0			
(m)		2	31800	0	0	31800	3.3382	31800	0	31800	0	0.0000	NA	31800	0	0			
			17000	0	0	17000	1.7846	17000	0	17000	0	0.0000	NA	17000	0	0			
			14800	0	0	14800	1.5537	14800	0	14800	0	0.0000	NA	14800	0	0			
		220	251296	0	0	251296	26.3802	251296	0	251296	0	0.0000	NA	230821	0	0			
		220	251296	0	0	251296	26.3802	251296	0	251296	0	0.0000	NA	230821	0	0			
Details of the shareholders acting as persons in Concert																			
NIL																			



Table V - Statement Showing shareholding pattern of the Non Promoter - Non Public shareholder as on 30/09/2022

Category & Name of the Shareholders (I)	PAN (II)	No of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of underlying Depository Receipts (VI)	Total nos shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			Total Shareholding as a % assuming full conversion of securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2	Number of Locked in shares (XII)	Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)		
								No of Voting Rights	Class x	Class y			No. (a)	As a % of total shares held (b)		No. (e)	As a % of total shares held (Not applicable) (b)
(1) Custodian / DR Holder			0	0	0	0	0.0000	0	0	0.0000	0	0	0	0	0		
(2) Employee Benefit Trust/Employee Welfare Trust under SEBI (Share based Employee Benefits and Sweat Equity) Regulations, 2021			0	0	0	0	0.0000	0	0	0.0000	0	0	0	0	0		
Total Non Promoter - Non Public Shareholding (C)=(C1)+(C2)			0	0	0	0	0.0000	0	0	0.0000	0	0	0	0	0		



Table V - Statement showing shareholding details of significant beneficial owner 30.09.2022

		Details of the significant beneficial owner (I)				Details of the registered owner (II)				Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect :(III) Whether by virtue of:				Date of creation / acquisition of significant beneficial interest (IV)
Sr No.	Name	PAN/Passport No. in case of a foreign national	Nationality	Name	PAN/Passport No. in case of a foreign national	Nationality	Shares %	Voting rights %	Rights on distributable dividend or any other distribution %	Exercise of control	Exercise of significant influence			
1	Rajendra Kumar Bachhawat	AEEP8725R	Indian	RSM Investments	AANFR1074Q	Indian	4.65	-	-	-	-	-	12.07.2012	
2	Rajendra Kumar Bachhawat	AEEP8725R	Indian	Rajendra Kumar Bachhawat- HUF	AAC-IR7193H	Indian	4.58	-	-	-	-	-	27.04.2005	
3	Rajendra Kumar Bachhawat	AEEP8725R	Indian	Daulat Financial Services (P) Ltd.	AAACD8739N	Indian	7.17	-	-	-	-	-	31.03.2011	
4	Rajendra Kumar Bachhawat	AEEP8725R	Indian	RSM Builders & Securities (P) Ltd.	AABCR3143B	Indian	3.68	-	-	-	-	-	31.03.2003	
5	Rajendra Kumar Bachhawat	AEEP8725R	Indian	Rajendra Kumar Bachhawat- Direct	AEEP8725R	Indian	9.65	-	-	-	-	-	31.03.2004	
6	Prakash Bachhawat	AKW/PB9763F	Indian	Daulat Financial Services (P) Ltd.	AAACD8739N	Indian	7.17	-	-	-	-	-	31.03.2011	
7	Prakash Bachhawat	AKW/PB9763F	Indian	Prakash Bachhawat -Direct	AKW-PB9763F	Indian	7.85	-	-	-	-	-	30.06.2003	
8	Surendra Kumar Bachhawat	AEEP8727P	Indian	RSM Investments	AANFR1074Q	Indian	4.65	-	-	-	-	-	12.07.2012	
9	Surendra Kumar Bachhawat	AEEP8727P	Indian	Daulat Financial Services (P) Ltd.	AAACD8739N	Indian	7.17	-	-	-	-	-	31.03.2011	
10	Surendra Kumar Bachhawat	AEEP8727P	Indian	RSM Builders & Securities (P) Ltd.	AABCR3143B	Indian	3.68	-	-	-	-	-	31.03.2003	
11	Surendra Kumar Bachhawat	AEEP8727P	Indian	Surendra Kumar Bachhawat- Direct	AEEP8727P	Indian	9.09	-	-	-	-	-	31.03.2004	
12	Mahendra Kumar Bachhawat	AEKPB3106Q	Indian	RSM Investments	AANFR1074Q	Indian	4.65	-	-	-	-	-	12.07.2012	
13	Mahendra Kumar Bachhawat	AEKPB3106Q	Indian	Daulat Financial Services (P) Ltd.	AAACD8739N	Indian	7.17	-	-	-	-	-	31.03.2011	
14	Mahendra Kumar Bachhawat	AEKPB3106Q	Indian	RSM Builders & Securities (P) Ltd.	AABCR3143B	Indian	3.68	-	-	-	-	-	16.10.2008	
15	Mahendra Kumar Bachhawat	AEKPB3106Q	Indian	Mahendra Kumar Bachhawat-Direct	AEKPB3106Q	Indian	7.59	-	-	-	-	-	02.02.2004	
16	Deepak Bachhawat	AGXPB6375J	Indian	Daulat Financial Services (P) Ltd.	AAACD8739N	Indian	7.17	-	-	-	-	-	31.03.2011	
17	Deepak Bachhawat	AGXPB6375J	Indian	RSM Builders & Securities (P) Ltd.	AABCR3143B	Indian	3.68	-	-	-	-	-	18.02.2005	
18	Deepak Bachhawat	AGXPB6375J	Indian	Deepak Bachhawat- Direct	AGXPB6375J	Indian	5.83	-	-	-	-	-	31.03.1999	



19	Alok Bachhawatt	AGGPB5662D	Indian	Daulat Financial Services (P) Ltd.	AAACD8739N	Indian	7.17	-	-	-	-	31.03.2011
20	Alok Bachhawatt	AGGPB5662D	Indian	RSM Builders & Securities (P) Ltd.	AABCR3143B	Indian	3.68	-	-	-	-	26.03.2019
21	Alok Bachhawatt	AGGPB5662D	Indian	Alok Bachhawatt-Direc:	AGGPB5662D	Indian	3.03	-	-	-	-	30.04.1998
22	Manju Bachhawatt	AGRPS7777J	Indian	Daulat Financial Services (P) Ltd.	AAACD8739N	Indian	7.17	-	-	-	-	31.03.2011
23	Manju Bachhawatt	AGRPS7777J	Indian	RSM Builders & Securities (P) Ltd.	AABCR3143B	Indian	3.68	-	-	-	-	13.01.1998
24	Saroj Bachhawatt	ADJPB0879Q	Indian	Daulat Financial Services (P) Ltd.	AAACD8739N	Indian	7.17	-	-	-	-	31.03.2011
25	Saroj Bachhawatt	ADJPB0879Q	Indian	RSM Builders & Securities (P) Ltd.	AABCR3143B	Indian	3.68	-	-	-	-	22.08.1997
26	Saroj Bachhawatt	ADJPB0879Q	Indian	Saroj Bachhawatt-Direct	ADJPB0879Q	Indian	0.01	-	-	-	-	31.03.1990
27	Nisha Bachhawatt	AAKPB7008F	Indian	Daulat Financial Services (P) Ltd.	AAACD8739N	Indian	7.17	-	-	-	-	31.03.2011
28	Nisha Bachhawatt	AAKPB7008F	Indian	RSM Builders & Securities (P) Ltd.	AABCR3143B	Indian	3.68	-	-	-	-	22.08.1997

Table VI - Statement showing foreign ownership limits

	Board approved limits	Limits utilized
As on shareholding date		
As on the end of previous 1st Quarter		
As on the end of previous 2nd Quarter		
As on the end of previous 3rd Quarter		
As on the end of previous 4th Quarter		

