

**Format of holding of specified securities**

1. Name of Listed Entity: DEEPLOK FINANCIAL SERVICES LTD (CIN:L17115WB1981PLC033469)
2. Scrip Code - Name of Scrip -, - Class of Security Equity
3. Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)
  - a. If under 31 (1)(b) then indicate the report for Quarter ending: 30/12/2023
  - b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. **Declaration** : The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3 Whether the Listed Entity has any shares against which depository receipts are issued?		No
4 Whether the Listed Entity has any shares in Locked-in?		No
5 Whether any shares held by promoters are pledge or otherwise encumbered?		No
6 Whether company has equity shares with differential voting rights?		No
7 Whether the Listed Entity has any significant beneficial owner?	Yes	

\* If the Listed Entity selects the option 'No' for the questions above, the column for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-



DEEPLOK FINANCIAL SERVICES LTD

Table I - Summary Statement holding of specified securities as on 30/12/2023

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
								Class eq.x	Class eq.y	Total								
(A)	Promoter & Promoter group	13	701299	0	0	701299	73.6198	701299	0	701299	73.6198	0	0	0.0000	0	0.0000	701299	
(B)	Public	219	251296	0	0	251296	26.3802	251296	0	251296	26.3802	0	0	0.0000	NA	NA	230621	
(C)	Non Promoter - Non Public														NA	NA		
(C1)	Shares underlying DRs						NA								NA	NA		
(C2)	Shares held by Employee Trusts														NA	NA		
	Total	232	952595	0	0	952595	100.0000	952595	0	952595	100.0000	0	0				931920	



DEEPIK FINANCIAL SERVICES LTD		Table II - Statement Showing shareholding pattern of the Promoter and Promoter Group as on 30/12/2023																	
Category & Name of the Shareholders (I)	Entity type i.e. promoter group entity (except promoter)	PAN	Nos. of shares held (II)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of shares underlying convertible securities (as a percentage of diluted share capital) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
									Class x	Class y	Total								
(1) Indian																			
(a) Individuals/Hindu undivided Family			9	497949	0	0	497949	52.2729	497949	0	497949	52.2729	0	52.2729	0	0.0000	0	0.0000	497949
RAJENDRA KUMAR BACHHAWAT	Promoter	AEEP80725R	1	91933	0	0	91933	9.6508	91933	0	91933	9.6508	0	9.6508	0	0.0000	0	0.0000	91933
SURENDRA KUMAR BACHHAWAT	Promoter	AEEP80727P	1	86632	0	0	86632	9.0943	86632	0	86632	9.0943	0	9.0943	0	0.0000	0	0.0000	86632
Prakash Bachhawat	Promoter	AKWP89783F	1	74750	0	0	74750	7.8470	74750	0	74750	7.8470	0	7.8470	0	0.0000	0	0.0000	74750
MAHENDRA KUMAR BACHHAWAT	Promoter	AEKPE3106Q	1	72284	0	0	72284	7.5881	72284	0	72284	7.5881	0	7.5881	0	0.0000	0	0.0000	72284
DEEPAK BACHHAWAT	Promoter	AGXP86375J	1	55500	0	0	55500	5.8262	55500	0	55500	5.8262	0	5.8262	0	0.0000	0	0.0000	55500
SURENDRA KUMAR BACHHAWAT	Promoter	AANPR1074Q	1	44300	0	0	44300	4.6505	44300	0	44300	4.6505	0	4.6505	0	0.0000	0	0.0000	44300
RAJENDRA KUMAR BACHHAWAT H.U.F.	Promoter	AACHR7193H	1	43600	0	0	43600	4.5770	43600	0	43600	4.5770	0	4.5770	0	0.0000	0	0.0000	43600
ALOK BACHHAWAT	Promoter	AGGP85662D	1	28900	0	0	28900	3.0338	28900	0	28900	3.0338	0	3.0338	0	0.0000	0	0.0000	28900
SAROJ BACHHAWAT	Promoter	ADJP80879Q	1	50	0	0	50	0.0052	50	0	50	0.0052	0	0.0052	0	0.0000	0	0.0000	50
(b) Central Government/State Government(s)														0	0.0000	0	0.0000	0	0.0000
(c) Financial institutions/banks														0	0.0000	0	0.0000	0	0.0000
(d) Any Other (specify)														0	0.0000	0	0.0000	0	0.0000
Bodies Corporate			4	203350	0	0	203350	21.3470	203350	0	203350	21.3470	0	21.3470	0	0.0000	0	0.0000	203350
DAULAT FINANCIAL SERVICES PVT. LTD.	Promoter Group	AAACD8739N	1	68300	0	0	68300	7.1699	68300	0	68300	7.1699	0	7.1699	0	0.0000	0	0.0000	68300
DEEPIK SECURITIES LTD.	Promoter Group	AAACD8152H	1	56450	0	0	56450	5.9259	56450	0	56450	5.9259	0	5.9259	0	0.0000	0	0.0000	56450
VINAYAK DEALER PVT. LTD.	Promoter Group	AAACV8573C	1	43500	0	0	43500	4.5665	43500	0	43500	4.5665	0	4.5665	0	0.0000	0	0.0000	43500
RSM BUILDERS AND SECURITIES PVT. LTD.	Promoter Group	AAARCR3143R	1	35100	0	0	35100	3.6847	35100	0	35100	3.6847	0	3.6847	0	0.0000	0	0.0000	35100
Sub Total			13	701299	0	0	701299	73.6198	701299	0	701299	73.6198	0	73.6198	0	0.0000	0	0.0000	701299
(2) Foreign individuals (Non-Resident individuals/Foreign Individuals)														0	0.0000	0	0.0000	0	0.0000
(a) Government														0	0.0000	0	0.0000	0	0.0000
(c) Institutions														0	0.0000	0	0.0000	0	0.0000
(d) Foreign Portfolio Investor														0	0.0000	0	0.0000	0	0.0000
(e) Any Other (specify)														0	0.0000	0	0.0000	0	0.0000
Sub Total			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
Total Shareholding of Promoter and Promoter Group (A)=(I)+(XIV)			13	701299	0	0	701299	73.6198	701299	0	701299	73.6198	0	73.6198	0	0.0000	0	0.0000	701299



DEEPOK FINANCIAL SERVICES LTD																					
Table III - Statement Showing shareholding pattern of the Public shareholder as on 30/12/2023																					
Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid up equity shares held (V)	Nos. of shares Underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV) + (V) + (VI)	Shareholding % calculate d as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (including Warrants) (X)	Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII) + (X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Sub-categorization of shares (XIV) Shareholding (No of shares) under				
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total shares held (b)	No. (Not appli cable) (a)	As a % of total shares held (Not applica ble) (b)	Number of equity shares held in dematerial ized form (XIV)	Sub-Category (i)	Sub-Category (ii)	Sub-Category (iii)
								Class x	Class y	Total											
(1) Institutions (Domestic)																					
(a) Mutual Funds																					
(b) Venture Capital Funds																					
(c) Alternate Investment Funds																					
(d) Banks																					
(e) Insurance Companies																					
(f) Provident Funds / Pension Funds																					
(g) Asset Reconstruction Companies																					
(h) Sovereign Wealth Funds																					
(i) NBFCs Registered with RBI																					
(j) Other Financial Institutions																					
(k) Any Other (specify)																					
Sub Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	0	0	0		
(2) Institutions (Foreign)																					
(a) Foreign Direct Investment																					
(b) Foreign Venture Capital Investors																					
(c) Sovereign Wealth Funds																					
(d) Foreign Portfolio Investors Category I																					
(e) Foreign Portfolio Investors Category II																					
(f) Overseas Depositories (holding DRs) (balancing figure)																					
(g) Any Other (specify)																					
Sub Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	0	0	0		
(3) Central Government / State Government(s)																					
(a) Central Government / President of India																					
(b) State Government / Governor																					
(c) Shareholding by Companies or Bodies Corporate where Central/State Government is a promoter																					
Sub Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	0	0	0		
(4) Non-institutions																					
(a) Associate Companies / Subsidiaries																					





Table IV - Statement Showing shareholding pattern of the Non Promoter - Non Public shareholder as on 30/12/2023

Category & Name of the Shareholders (i)	PAN (ii)	No of shareholders (iii)	No. of fully paid up equity shares held (iv)	Partly paid-up equity shares held (v)	Nos. of shares underlying Depository Receipts (vi)	Total nos. shares held (vii)=(iv)+(v)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)			No of shares Underlying outstanding convertible securities (including Warrants) (x)	Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi)=(vii)+(x) As a % of A+B+C2)	Number of Locked in shares (xii)		Number of shares pledged or otherwise encumbered (xiii)		Number of equity shares held in dematerialized form (xiv)	
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total shares held (b)	Nos. (Not applicable) (a)		As a % of total share (Not applicable) (b)
								Class x	Class y	Total								
(1) Custodian / DR Holder																		
(2) Employee Benefit Trust/Employee Welfare Trust under SEBI (Share based Employee Benefits and Sweat Equity) Regulations, 2021																		
Total Non Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0



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Table V - Statement showing details of significant beneficial owners as on 30/12/2023

Details of the (SBO) (I)				Details of the registered owner (II)			Details of holding / exercise of right of the SBO in the reporting company, whether direct or indirect: Whether by virtue of: (III)					Date of creation / acquisition of significant beneficial interest (IV)
Sr No	Name	PAN / Passport No. in case of a foreign national	Nationality	Name	PAN / Passport No. in case of a foreign national	Nationality	Shares (%)	Voting rights (%)	Rights on distributable dividend or any other distribution (%)	Exercise of control	Exercise of significant influence	
1	Rajendra Kumar Bachhawat	AEEP8725R	Indian	RSM Investments	AANFR1074Q	Indian	4.65	4.65	4.65	NO	NO	12.07.2012
2	Rajendra Kumar Bachhawat	AEEP8725R	Indian	Rajendra Kumar Bachhawat-HUF	AACHR7193H	Indian	4.58	4.58	4.58	NO	NO	27.04.2005
3	Rajendra Kumar Bachhawat	AEEP8725R	Indian	Daulat Financial Services (P) Ltd.	AAACD8739N	Indian	7.17	7.17	7.17	NO	NO	31.03.2011
4	Rajendra Kumar Bachhawat	AEEP8725R	Indian	RSM Builders & Securities (P) Ltd.	AABCR3143B	Indian	3.68	3.68	3.68	NO	NO	31.03.2003
5	Rajendra Kumar Bachhawat	AEEP8725R	Indian	Rajendra Kumar Bachhawat-Direct	AEEP8725R	Indian	9.65	9.65	9.65	NO	NO	31.03.2004
6	Prakash Bachhawat	AKWPB9763F	Indian	Daulat Financial Services (P) Ltd.	AAACD8739N	Indian	7.17	7.17	7.17	NO	NO	31.03.2011
7	Prakash Bachhawat	AKWPB9763F	Indian	Prakash Bachhawat - Direct	AKWPB9763F	Indian	7.85	7.85	7.85	NO	NO	30.06.2003
8	Surendra Kumar Bachhawat	AEEP8727P	Indian	RSM Investments	AANFR1074Q	Indian	4.65	4.65	4.65	NO	NO	12.07.2012
9	Surendra Kumar Bachhawat	AEEP8727P	Indian	Daulat Financial Services (P) Ltd.	AAACD8739N	Indian	7.17	7.17	7.17	NO	NO	31.03.2011
10	Surendra Kumar Bachhawat	AEEP8727P	Indian	RSM Builders & Securities (P) Ltd.	AABCR3143B	Indian	3.68	3.68	3.68	NO	NO	31.03.2003
11	Surendra Kumar Bachhawat	AEEP8727P	Indian	Surendra Kumar Bachhawat-Direct	AEEP8727P	Indian	9.09	9.09	9.09	NO	NO	31.03.2004
12	Mahendra Kumar Bachhawat	AEKPB3106Q	Indian	RSM Investments	AANFR1074Q	Indian	4.65	4.65	4.65	NO	NO	12.07.2012
13	Mahendra Kumar Bachhawat	AEKPB3106Q	Indian	Daulat Financial Services (P) Ltd.	AAACD8739N	Indian	7.17	7.17	7.17	NO	NO	31.03.2011
14	Mahendra Kumar Bachhawat	AEKPB3106Q	Indian	RSM Builders & Securities (P) Ltd.	AABCR3143B	Indian	3.68	3.68	3.68	NO	NO	16.10.2008



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Table V - Statement showing details of significant beneficial owners as on 30/12/2023

Details of the (SBO)			Details of the registered owner			Details of holding / exercise of right of the SBO in the reporting company, whether direct or indirect.					Date of creation / acquisition of significant beneficial interest *	
(I)			(II)			Whether by virtue of (III)					(IV)	
Sr No	Name	PAN / Passport No. in case of a foreign national	Nationality	Name	PAN / Passport No. in case of a foreign national	Nationality	Shares (%)	Voting rights (%)	Rights on distributable dividend or any other distribution (%)	Exercise of control	Exercise of significant influence	
15	Mahendra Kumar Bachhawat	AEKPB3106Q	Indian	Mahendra Kumar Bachhawat-Direct	AEKPB3106Q	Indian	7.59	7.59	7.59	NO	NO	02.02.2004
16	Deepak Bachhawat	AGXPB6375J	Indian	Daulat Financial Services (P) Ltd.	AAACD8739N	Indian	7.17	7.17	7.17	NO	NO	31.03.2011
17	Deepak Bachhawat	AGXPB6375J	Indian	RSM Builders & Securities (P) Ltd.	AABCR3143B	Indian	3.68	3.68	3.68	NO	NO	18.02.2005
18	Deepak Bachhawat	AGXPB6375J	Indian	Deepak Bachhawat-Direct	AGXPB6375J	Indian	5.83	5.83	5.83	NO	NO	31.03.1999
19	Alok Bachhawat	AGGPB5662D	Indian	Daulat Financial Services (P) Ltd.	AAACD8739N	Indian	7.17	7.17	7.17	NO	NO	31.03.2011
20	Alok Bachhawat	AGGPB5662D	Indian	RSM Builders & Securities (P) Ltd.	AABCR3143B	Indian	3.68	3.68	3.68	NO	NO	26.03.2019
21	Alok Bachhawat	AGGPB5662D	Indian	Alok Bachhawat-Direct	AGGPB5662D	Indian	3.03	3.03	3.03	NO	NO	30.04.1998
22	Manju Bachhawat	AGRPS7777J	Indian	Daulat Financial Services (P) Ltd.	AAACD8739N	Indian	7.17	7.17	7.17	NO	NO	31.03.2011
23	Manju Bachhawat	AGRPS7777J	Indian	RSM Builders & Securities (P) Ltd.	AABCR3143B	Indian	3.68	3.68	3.68	NO	NO	13.01.1998
24	Saroj Bachhawat	ADJPB0879Q	Indian	Daulat Financial Services (P) Ltd.	AAACD8739N	Indian	7.17	7.17	7.17	NO	NO	31.03.2011
25	Saroj Bachhawat	ADJPB0879Q	Indian	RSM Builders & Securities (P) Ltd.	AABCR3143B	Indian	3.68	3.68	3.68	NO	NO	22.08.1997
26	Saroj Bachhawat	ADJPB0879Q	Indian	Saroj Bachhawat-Direct	ADJPB0879Q	Indian	0.01	0.01	0.01	NO	NO	31.03.1990
27	Nisha Bachhawat	AAKPB7008F	Indian	Daulat Financial Services (P) Ltd.	AAACD8739N	Indian	7.17	7.17	7.17	NO	NO	31.03.2011
28	Nisha Bachhawat	AAKPB7008F	Indian	RSM Builders & Securities (P) Ltd.	AABCR3143B	Indian	3.68	3.68	3.68	NO	NO	22.08.1997





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Table VI - Statement showing foreign ownership limits as on 30/12/2023

	Board approved limits	Limits utilized
As on shareholding date	0.0000	
As on 30/09/2023	0.0000	
As on 30/06/2023	0.0000	
As on 31/03/2023	0.0000	
As on 31/12/2022	0.0000	

