

Format of holding of specified securities

1. Name of Listed Entity: DEEPLOK FINANCIAL SERVICES LTD (CIN:L17115WB1981PLC033469)

2. Scrip Code - Name of Scrip -- Class of Security Equity
 3. Share Holding Pattern Filed under Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)
 a. If under 31 (1)(b) then indicate the report for Quarter ending: 02/01/2026
 b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3 Whether the Listed Entity has any shares against which depository receipts are issued?		No
4 Whether the Listed Entity has any shares in Locked-in?		No
5 Whether any shares held by promoters are pledge or otherwise encumbered?		No
6 Whether company has equity shares with differential voting rights?		No
7 Whether the Listed Entity has any significant beneficial owner?	Yes	
8 Whether Listed Entity has granted any ESOPs, which are outstanding?		No
9 Whether any shares held by promoters are encumbered under Non - Disposal Undertaking?		No
10 Whether any shares held by promoters are encumbered, other than by way of Pledge or NDU, if any?		No
11 Whether the listed entity is Public Sector Undertaking (PSU)?		No

* If the Listed Entity selects the option 'No' for the questions above, the columnus for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-



Category (i) Category of shareholder (iii)	No. of shareholders (iii)	No. of fully paid up equity shares held (iv)	No. of Party paid-up equity shares held (v)	No. of shares underlying Depository Receipts (vi)	Total nos shares held (VII)= (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (including Warrants, ESOP, Convertible Securities etc) (X)	Total No of shares on fully diluted basis (including Warrants, ESOP, Convertible Securities etc) (XI)= (VII)+(X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XII)= (VII)*(X) As a % of (A+B+C2)	Number of Locked in shares (XIII)		Number of shares pledged (XIV)		Non-Disposal Undertaking (XV)		Other encumbrances, if any (XVI)		Total No of Shares encumbered (XVII)= (XIV+XV+XVI)		Number of equity shares held in dematerialized form (XVIII)							
							No of Voting Rights						Class eg: x	Class eg: y	Total	Total as a % of (A+B+C1) (X)	No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)				
							Class eg: x	Class eg: y	Total																					
(A) Promoter & Promoter group	13	701299	0	0	701299	73 6198	701295	0	701299	73 6198	0	701298	73 6198	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000	701299				
(B) Public	219	251296	0	0	251296	26 3802	251296	0	251296	26 3802	0	251296	26 3802	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000	230521				
(C) Non Promoter - Non Public																														
(C1) Shares underlying DRs						NA																								
(C2) Shares held by Employee Trusts																														
Total	232	952595	0	0	952595	100 0000	952595	0	952595	100 0000	0	100 0000	0	0 0000	0	0 0000	0	0 0000	0	0 0000	0	0 0000	0	0 0000	931920					



Category & Name of the Shareholders (I)	Entity type Promoter or Promoter group (Promoter group would exclude promoters) (II)	PAN (III)	Number of Voting Rights held in each class of securities (X)												Total Shareholding as a % assuming full conversion of convertible securities (including Warrants, ESOP, Convertible Securities etc.) (XII)=(VIII)+(XI) As a % of A+B+C2	Total No. of shares on My diluted basis (including Warrants, ESOP etc.) (XI)	Number of Locked in shares (XIV)	Number of shares pledged (XV)	Non-Disposal Undertaking (XVI)	Other encumbrances, if any (XVII)	Total No. of shares encumbered (XVIII)=(XV+XVI+XVII)				
			No of Voting Rights			Shareholding % calculated as per SCRR 1957) As a % of (A+B+C2) (IX)			Total as % of Total Voting rights (VII)=(V)+(VII)			No of shares Underlying outstanding convertible securities (including Warrants, ESOP etc.) (X)													
			No. of shareholdings (IV)	No. of fully paid up equity shares held (V)	Partly paid up equity shares held (VI)	No. of shares underlying Depository Receipts (VII)	Total no shares held (VII)=(V)+(VII)	Class x	Class y	Total	Class x	Class y	Total	No. (a)	No. (a)	No. (a)	No. (a)	No. (a)	No. (a)	No. (a)	No. (a)	No. (a)			
(1) Indian Individuals/Hindu undivided Family		9	497949	0	0	497949	52,2725	497949	0	497949	52,2729	0	497949	52,2729	0	0.0000	0	0.0000	0	0.0000	0	0.0000	497949		
(a) RAJENDRA KUMAR BACHHAWAT	Promoter	AEEPB8725R	1	91933	0	0	91933	9,6505	91933	0	91933	9,6506	0	91933	9,6508	0	0.0000	0	0.0000	0	0.0000	0	0.0000	91933	
SURENDRA KUMAR BACHHAWAT	Promoter	AEEPB8727P	1	86632	0	0	86632	9,0943	86632	0	86632	9,0943	0	86632	9,0943	0	0.0000	0	0.0000	0	0.0000	0	0.0000	86632	
PRAKASH BACHHAWAT	Promoter	AKWPB99783P	1	74750	0	0	74750	7,8470	74750	0	74750	7,8470	0	74750	7,8470	0	0.0000	0	0.0000	0	0.0000	0	0.0000	74750	
MAHENDRA KUMAR BACHHAWAT	Promoter	AEKPB3106Q	1	72284	0	0	72284	7,5881	72284	0	72284	7,5881	0	72284	7,5881	0	0.0000	0	0.0000	0	0.0000	0	0.0000	72284	
DEEPAK BACHHAWAT	Promoter	AGKPB6375U	1	55500	0	0	55500	5,8262	55500	0	55500	5,8262	0	55500	5,8262	0	0.0000	0	0.0000	0	0.0000	0	0.0000	55500	
SURENDRA KUMAR BACHHAWAT	Promoter	AANFR1074Q	1	44300	0	0	44300	4,6505	44300	0	44300	4,6505	0	44300	4,6505	0	0.0000	0	0.0000	0	0.0000	0	0.0000	44300	
RAJENDRA KUMAR BACHHAWAT HUF	Promoter	AAUHR7193H	1	43800	0	0	43800	4,5770	43800	0	43800	4,5770	0	43800	4,5770	0	0.0000	0	0.0000	0	0.0000	0	0.0000	43800	
ALOK BACHHAWAT	Promoter	AGGPB5662D	1	28900	0	0	28900	3,0338	28900	0	28900	3,0338	0	28900	3,0338	0	0.0000	0	0.0000	0	0.0000	0	0.0000	28900	
SAROJ BACHHAWAT	Promoter	ADUPB0879Q	1	50	0	0	50	0.0052	50	0	50	0.0052	0	50	0.0052	0	0.0000	0	0.0000	0	0.0000	0	0.0000	50	
Central Government/State Government																0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(h) Government/State Government																0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c) Financial Institutions/Banks																0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d) Any Other (specify)																0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
Bodies Corporate		4	203350	0	0	203350	21,3470	203350	0	203350	21,3470	0	203350	21,3470	0	0.0000	0	0.0000	0	0.0000	0	0.0000	203350		
DAULAT FINANCIAL PVT. LTD.	Debunkki Group	AAADD0700N	1	68200	0	0	68200	7,1698	68200	0	68200	7,1698	0	68200	7,1698	0	0.0000	0	0.0000	0	0.0000	0	0.0000	68200	
DEEPOK SECURITIES LTD.	Promoter Group	AAACD9452H	1	56450	0	0	56450	5,9256	56450	0	56450	5,9256	0	56450	5,9256	0	0.0000	0	0.0000	0	0.0000	0	0.0000	56450	
VINAYAK DEALER PVT. LTD.	Promoter Group	AAACV9573C	1	43500	0	0	43500	4,3985	43500	0	43500	4,3985	0	43500	4,3985	0	0.0000	0	0.0000	0	0.0000	0	0.0000	43500	
RSM BUILDERS AND SECURITIES PVT. LTD.	Promoter Group	AABCR3143B	1	35100	0	0	35100	3,6847	35100	0	35100	3,6847	0	35100	3,6847	0	0.0000	0	0.0000	0	0.0000	0	0.0000	35100	
Sub Total			13	701299	0	0	701299	73,6198	701299	0	701299	73,6198	0	701299	73,6198	0	0.0000	0	0.0000	0	0.0000	0	0.0000	701299	
Total Shareholding of Promoter and Promoter Group (A)=(X1)+(X2)			13	701299	0	0	701299	73,6198	701299	0	701299	73,6198	0	701299	73,6198	0	0.0000	0	0.0000	0	0.0000	0	0.0000	701299	



Category & Name of the Shareholders (i)	PAN (ii)	No of shareholders (iii)	No. of fully paid up equity shares held (iv)	Partly paid-up equity shares held (v)	No. of shares underlying Depository Receipts (vi)	Total no of shares held (VII)=(iv)+(v)+(vi)	Shareholding % calculated as per SCRR 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying Outstanding convertible securities (including Warrants, ESOP, Convertible Securities etc.) (X) (X)=(VII)+X	Total No of shares on fully diluted basis (including Warrants, ESOP, Convertible Securities etc.) (XI)=(VII)+X	Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XII)=(VII)+X As a % of A+B+C2) (XII)=(VII)+X	Number of Locked in shares (XIII)	Number of shares pledged (XIV)	Non-Disposal Undertaking (XV)	Other encumbrances, if any (XVI)	Total No of Shares encumbered (XVII)=(XV+X V+XVI)	Sub-categorization of shares (XIX)							
								No of Voting Rights							Number of Locked in shares (XIII)			Number of shares pledged (XIV)			Sub-categorization of shares (XIX)					
								No of Voting Rights			Class x			Class y			Total			Number of Locked in shares (XIII)			Number of shares pledged (XIV)			
(1) Institutions (Domestic)																										
(a) Mutual Funds																										
(b) Venture Capital Funds																										
(c) Alternate Investment Funds																										
(d) Banks																										
(e) Insurance Companies																										
(f) Provident Funds / Pension Funds																										
(g) Asset Reconstruction Companies																										
(h) Sovereign Wealth Funds																										
(i) NBFCs Registered with RBI																										
(ii) Other Financial Institutions																										
(k) Any Other (specify)																										
Sub Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0.0000	0	0	0.0000	NA	0	0	0	0			
(2) Institutions (Foreign)																										
(a) Foreign Direct Investment																										
(b) Foreign Venture Capital Investors																										
(c) Sovereign Wealth Funds																										
(d) Foreign Portfolio Investors Category I																										
(e) Foreign Portfolio Investors Category II																										
(f) Overseas Depositories (holding DRs) (balancing figure)																										
(g) Any Other (specify)																			NA	0	0	0	0			
Sub Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0.0000	0	0	0.0000	NA	0	0	0	0			
(3) Central Government / State Government(s)																										
(a) Central Government / President of India																										
(b) State Government / Governor																										
Shareholding by Companies or Bodies Corporate where Central/State Government is a promoter																										
(c) Any Other (specify)																			NA	0	0	0	0			
Sub Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0.0000	0	0	0.0000	NA	0	0	0	0			
(4) Non-Institutions																										
(a) Associate Companies / Subsidiaries																										
(b) Directors and their relatives (excluding independent directors and nominee directors)																										
(c) Key Managerial Personnel																										
(d) Relatives of Promoters (other than immediate relatives of promoters disclosed under Promoter and Promoter Group category)																										
(e) Trusts where any person belonging to Promoter and Promoter Group category is trustee, beneficiary or author of the trust																										
(n) Investor Education and Protection Fund Authority																										



DEEPLOK FINANCIAL SERVICES LTD		Table III - Statement showing holding of specified securities by the Public shareholders as on 02/01/2026																				
Category & Name of the Shareholders (I)	PAN (II)	Number of Voting Rights held in each class of securities (IX)														Number of shares pledged (XIV)	Non-Disposal Undertaking (XV)	Other encumbrances, if any (XVI)	Total No of Shares encumbered (XVII)=(XIV+X V+XVI)	Sub-categorization of shares (XIX)		
		No of Voting Rights				Shareholding % calculated as per SCR 1957) As a % of (A+B+C2) (VIII)	Total No of shares on fully diluted basis (including Warrants, ESOP, Convertible Securities etc.) (X)= (VII+X)	Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XII)=(VII)+(X) As a % of A+B+C2) (XI)= (VII+X)	Number of Locked in shares (XIII)	As a % of total shares held (b)	As a % of total shares held (a) (Not applicable)	As a % of total shares held (b)	As a % of total shares held (a)	As a % of total shares held (b)	As a % of total shares held (a)	Number of equity shares held in dematerialized form (XVIII)	Sub-Category (I)	Sub-Category (II)	Sub-Category (III)			
		No of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no of shares held (VII)=(IV)+(VI)																	
Resident Individuals holding nominal share capital upto Rs 2 lakhs	201	37950	0	0	37950	3 9839	37950	0	37950	3 9839	0	37950	3 9839	0	0.0000	NA	17900	0	0	0		
Resident Individuals holding nominal share capital in excess of Rs 2 lakhs	3	95491	0	0	95491	10 0243	95491	0	95491	10 0243	0	95491	10 0243	0	0.0000	NA	95491	0	0	0		
SAHIL BAID	AMJPB3388C	1	45000	0	0	45000	4,7239	45000	0	45000	4,7239	0	45000	4,7239	0	0.0000	NA	45000	0	0	0	
NIRMAL KUMAR SINGH	AMAPSS279H	1	26250	0	0	26250	2 7556	26250	0	26250	2 7556	0	26250	2 7556	0	0.0000	NA	26250	0	0	0	
SUSHIL KUMAR BAID	AAQPB3256P	1	24241	0	0	24241	2 5447	24241	0	24241	2 5447	0	24241	2 5447	0	0.0000	NA	24241	0	0	0	
(i) Non Resident Indians (NRIs)																						
(ii) Foreign National																						
(k) Foreign Company / OCB																		49675	0	0	0	
(l) Bodies Corporate	11	50300	0	0	50300	5,2803	50300	0	50300	5,2803	0	50300	5,2803	0	0.0000	NA	49675	0	0	0		
SUHARSH TRADE & HOLDING PVT LTD	1	49675	0	0	49675	5 2147	49675	0	49675	5 2147	0	49675	5 2147	0	0.0000	NA	49675	0	0	0		
(m) Any Other (specify)																	67555	0	0	0		
Trusts	4	67555	0	0	67555	7,0917	67555	0	67555	7,0917	0	67555	7,0917	0	0.0000	NA	67555	0	0	0		
VARNIKA BACHHAWAT BENEFIT TRUST	AAAAVB224C	1	27000	0	0	27000	2 8344	27000	0	27000	2 8344	0	27000	2 8344	0	0.0000	NA	27000	0	0	0	
YUVRAJ BACHHAWAT BENEFIT TRUST	AAATY2932R	1	23730	0	0	23730	2 4911	23730	0	23730	2 4911	0	23730	2 4911	0	0.0000	NA	23730	0	0	0	
Sub Total		219	251296	0	0	251296	26,3802	251296	0	251296	26,3802	0	251296	26,3802	0	0.0000	NA	230621	0	0	0	
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		219	251296	0	0	251296	26,3802	251296	0	251296	26,3802	0	251296	26,3802	0	0.0000	NA	230621	0	0	0	
Details of the shareholders acting as persons in Concert																						
Sl No. Name	PAN	Shares																				
Nil																						





Category & Name of the Shareholders (1)	PAN (II)	Number of Voting Rights held in each class of securities (IX)										Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XII) = (VII)+(X)	No. of shares on fully diluted basis (including Warrants, ESOP, Convertible Securities etc.) (X)	Total No of shares held (a)	As a % of total shares held (b)	Number of Locked in shares (XIII)	Number of shares pledged (XIV)	Non-Disclosure Undertaking (XV)	Other encumbrances, if any (XVI)	Total No of Shares encumbered (XVII) = (XIV+XV+XVI)									
		No of Voting Rights			Shareholding % calculated as per SCRR. 1957) As a % of (A+B+C2) (VII) = (IV) + (V) + (VI)			Total as a % of Total Voting rights																					
		No of shareholders (III)	No of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII)	Class x	Class y	Total	Class x	Class y	Total																	
(1) Custodian / DR Holder																													
(2) Employee Benefit Trust/Employee Welfare Trust under SEBI (Share based Employee Benefits and Sweat Equity) Regulations, 2021																													
Total Non Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0.0000	0	0.0000	NA	NA					0						



DEEPLOK FINANCIAL SERVICES LTD

Annexure

Table V - Statement showing details of significant beneficial owners as on 02/01/2026

												Date of creation / acquisition of significant beneficial interest	
Details of the (SBO)			Details of the registered owner			Details of holding / exercise of right of the SBO in the reporting company, whether direct or indirect:							
(I)		(II)		(III)		(IV)							
Sr No	Name	PAN / Passport No. in case of a foreign national	Nationality	Name	PAN / Passport No. in case of a foreign national	Nationality	Shares (%)	Voting rights (%)	Rights on distributable dividend or any other distribution (%)	Exercise of control	Exercise of significant influence		



DEEPLOK FINANCIAL SERVICES LTD

Table VI - Statement showing foreign ownership limits as on 02/01/2026

	Board approved limits	Limits utilized
As on shareholding date	0.0000	
As on 31/12/2025	0.0000	
As on 30/09/2025	0.0000	
As on 30/06/2025	0.0000	
As on 31/03/2025	0.0000	



DEEPLOK FINANCIAL SERVICES LTD				
Distribution Schedule as on 02/01/2026				
Share Holding	No of Holders	% age	No of Shares	% age
Upto 50	131	56.4655	6525	0.6850
51 to 100	47	20.2586	4650	0.4881
101 to 150	1	0.4310	150	0.0157
151 to 250	24	10.3448	4850	0.5091
251 to 500	5	2.1552	2300	0.2414
501 to 5000	2	0.8621	3150	0.3307
Above 5000	22	9.4828	930970	97.7299
Grand Total	232	100.0000	952595	100.0000

Distribution Schedule as on 02/01/2026				
Share Holding	No of Holders	% age	No of Shares	% age
Upto 500	208	89.6552	18475	1.9394
501 to 1000	1	0.4310	650	0.0682
2001 to 3000	1	0.4310	2500	0.2624
5001 to 10000	4	1.7241	33825	3.5508
Above 10000	18	7.7586	897145	94.1791
Grand Total	232	100.0000	952595	100.0000

Distribution Schedule as on 02/01/2026				
Notional Value of (Rs.)	No of Holders	% age	Amount (Rs.)	% age
Upto 5000	208	89.6552	184750	1.9394
5001 to 10000	1	0.4310	6500	0.0682
20001 to 30000	1	0.4310	25000	0.2624
50001 to 100000	4	1.7241	338250	3.5508
Above 100000	18	7.7586	8971450	94.1791
Grand Total	232	100.0000	9525950	100.0000



DEEPLOK FINANCIAL SERVICES LTD						
Shareholding Summary as on 02/01/2026						
Form	As per Folio/Client Id				As per PAN	
	No of Records	% to Total Records	No of Shares	% to Total Shares	No of Records	No of Shares
PHYSICAL	205	88.3621	20675	2.1704	205	20675
NSDL	24	10.3448	882045	92.5939	24	882045
CDSL	3	1.2931	49875	5.2357	3	49875
TOTAL	232	100.0000	952595	100.0000	232	952595
Total No of Shareholders as per PAN Clubbed 232						

